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(54) Title: METHOD FOR TREATING MULTIPLE MYELOMA

(57) Abstract: The present invention relates to methods for the treatment of multiple myeloma. More particularly, the present invention relates to a method for inducing apoptosis in myeloma cells by administration of a K121-like antibody.

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Method for treating multiple myeloma

Field of the Invention

5 The present invention relates to methods for the treatment of multiple myeloma. More particularly, the present invention relates to a method for inducing apoptosis in myeloma cells by administration of a K121-like antibody..

Background of the Invention

10 Multiple myeloma (MM) is a B-cell malignancy characterised by the accumulation of terminally differentiated B-cells (plasma cells) in the bone marrow. Recent research has identified some of the genetic and molecular defects that occur in myelomatous plasma cells (Drach, J. *et al.* (2000) *Cancer Res Clin Oncol.* 126:441; Ludwig, H. *et al.* (1999) *Annals Oncol.* 10 (6):S31). These data indicate that multiple molecular events result in profound genetic
15 instability of the cells, resistance to chemotherapy and increased bone marrow neovascularisation. The current therapy for MM is high dose chemotherapy and/or autologous peripheral blood stem cell transplantation. At present, the latter treatment is favoured due to a higher 5 year survival rate (52% versus 12%). Recently, antiangiogenic agents such as Thalidomide have produced an
20 objective response in approximately 30% of refractory patients. MM is irreversibly fatal despite these drastic therapies, with median survival times of 4-6 years depending on mode of treatment (Kyle, RA. *et al.* (2001) *The Oncologist.* 6 (2):119).

25 There are currently 40,000 patients with MM in the United States, with an estimate that approximately 14,000 new patients are diagnosed each year (Chauhan, D. and Anderson KC. (2001) *Apoptosis.* 6 (1-2): 47). The incidence of MM worldwide is between 1.5 - 4.5/100,000/year depending on the country (Hurez, D. (1993) *Revue du Praticien.* 43(3):271).

30 The malignant B-cells in MM produce excess amounts of light chain, a component of immunoglobulin, and these light chains are present in the serum and urine of individuals with this disease. Approximately 70% of MM patients produce light chains of kappa-type, with the remaining 30% being lambda-type (Kyle, RA. (1999) *Path Biol.* 47(2):148).

35 K121 is a murine monoclonal antibody (mAb) that specifically recognises human free kappa light chains and an antigen expressed on the surface of kappa-type myeloma cells. This antigen is designated kappa myeloma antigen

or KMA (Boux, HA. *et al.* (1983) J Exp Med. 158:1769). It has been established that KMA consists of free kappa light chains expressed in non-covalent association with actin on the cell membrane (Goodnow *et al.* (1985) J. Immunol. 135:1276). K121 does not exhibit cross-reactivity with any normal or malignant lymphoid cells or with intact human immunoglobulin molecules (Boux, HA *et al.* 5 (1984) Eur. J. Immunol. 14: 216).

A quantitative immunoassay for measuring free kappa light chains in the serum and urine of patients suffering from MM has been developed using K121 (Axiak, SM. (1987) J Immunol Methods. 99:141). The recent literature 10 suggests that quantification of free light chains may be used to monitor the progress and response to therapy of these patients (Drayson, M. (2001) Blood 97 (9):2900).

It has also been suggested that K121 may be used to deliver cytotoxins to kappa myeloma cells (Goodnow *et al.* (1985) J. Immunol. 135:1276). 15 Indeed, an immunotoxin comprising the cytolytic peptide melittin linked to a K121 scFV fragment (scFv-mel) has been developed as a potential therapeutic agent for the treatment of MM (Dunn, RD. *et al.*, (1996) Immunotechnology 2: 229).

20 Summary of the Invention

The present inventors have now found that K121 alone (i.e. not conjugated to a toxin or a cytolytic agent) is capable of killing KMA bearing cells by induction of apoptosis. Furthermore, the present inventors have demonstrated that K121 alone can prevent the growth of tumour cells *in vivo*. 25 These findings indicate that K121-like antibodies are potentially useful as primary therapeutic agents in the treatment of multiple myeloma.

Accordingly, in a first aspect the present invention provides a method for the treatment of kappa-type multiple myeloma in a subject, the method comprising administering to the subject an effective amount of a K121-like 30 antibody, wherein the K121-like antibody is not conjugated to a toxin or a cytolytic agent.

The present invention also provides the use of a K121-like antibody for the preparation of a medicament for the treatment of kappa-type multiple myeloma, wherein the K121-like antibody is not conjugated to a toxin or a 35 cytolytic agent.

In a preferred embodiment of the first aspect the method further comprises the step of treating the subject to reduce the levels of free kappa light chains present in the fluid of the subject prior to administration of the K121-like antibody. Preferably, the levels of free kappa light chains present in the serum of the subject are reduced. A reduction in the levels of free kappa light chains may be achieved by, for example, plasmapheresis. It is preferred that the treatment for reducing levels of free kappa light chains is performed on the subject just prior to administration of the K121-like antibody.

In a second aspect, the present invention provides a method for autologous hematopoietic cell transplantation in a subject, the method comprising

- (i) removing a hematopoietic progenitor cell population from the subject,
- (ii) treating the cell population with a K121-like antibody, and
- (iii) transplanting the treated cell population from step (ii) into the

subject, wherein the K121-like antibody is not conjugated to a toxin or a cytolytic agent.

In a preferred embodiment of the second aspect, the method also involves intravenous infusion of a K121-like antibody into the subject.

In a preferred embodiment of the second aspect, the method of autologous transplantation is performed on the subject during or after cytoreductive therapy.

In a third aspect the present invention provides a method for killing kappa-type myeloma cells in a mixed population of cells, the method comprising contacting the mixed population of cells with a K121-like antibody, wherein the K121-like antibody is not conjugated to a toxin or a cytolytic agent.

In a fourth aspect the present invention provides a method for inducing apoptosis in KMA bearing cells, the method comprising exposing the cells to a K121-like antibody, wherein the K121-like antibody is not conjugated to a toxin or a cytolytic agent.

In a preferred embodiment of the fourth aspect, the KMA bearing cells are kappa-type myeloma cells.

In one embodiment of the present invention, the K121-like antibody comprises the CDR loops (CDR1, CDR2 and CDR 3) of the K121 antibody as shown in Figure 9a. In another embodiment, the K121-like antibody comprises the VH and VL genes of the K121 antibody as shown in Figure 9a.

In a further preferred embodiment of the present invention, the K121-like antibody is a chimaeric antibody or a humanised antibody.

Brief description of the Figures

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Figure 1. (a) Cytotoxic activity of mAb K121 on HMy2 and K562 lymphoblastoid cells as measured by the leakage of cytoplasmic LDH (absorbance at 492nm). Cells incubated for 20h in the presence and absence of K121 mAb (6.25 μ M) **(b)** Kinetics of K121 induced cell death **(c)** Concentration dependence of killing of HMy2 cells by K121.

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Figure 2. Flow cytometric analysis of K121-induced apoptosis of HMy2 cells. Light scatter profile of HMy2 cells incubated with PBS or K121 for 16 and 20h. FSC and SSC correspond to cell size and cell complexity respectively.

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Figure 3(a) Flow cytometric analysis of K121-induced apoptosis of HMy2 cells using annexin V-FITC. HMy2 cells were incubated in the absence (Control) or presence of K121 at 37°C for 16 h or 20 h. Afterwards, the cells were incubated with annexin V-FITC and counter-stained with propidium iodide. **(b).** Cytotoxicity of K121 mAb on HMy2 cells carried out in parallel with the AnnexinV assay. HMy2 cells were incubated at 37°C for 16 or 20 h in the absence (control) or presence (5.35 μ M) of K121 mAb. Culture supernatant was harvested for analysis of cytosolic LDH leakage.

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Figure 4 (a) Flow cytometric analysis of K121-induced apoptosis of HMy2 cells using the TUNEL assay. HMy2 cells were incubated in the absence (Control) or presence of K121 at 37°C for 16 h or 20 h. Afterwards, the cells were fixed and intracellular DNA enzymatically labelled with Fluorescein-12-dUTP at the 3' end. **(b)** Cytotoxicity of HMy2 cells after 16 and 20 hours incubation with and without K121 carried out in parallel with the TUNEL assay. HMy2 cells were incubated at 37°C for 16 or 20 h in the absence (control) or presence (5.35 μ M) of K121 mAb. Culture supernatant was harvested for analysis of cytosolic LDH leakage.

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Figure 5. Time course of serum levels of human IgG secreted by Hmy2 in SCID mice after **(a)** no treatment (PBS), **(b)** treatment with scFv-mel or **(c)**

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treatment with K121 mAb. Cells (10^7) were injected i.p. on day 0 and treatment with scFv-mel (0.5 mg/dose) or K121 mAb (1.25mg/dose) given on days 1-3. Within a treatment group, each symbol represents IgG values for an individual mouse.

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Figure 6. Effect of antibody treatment on tumour growth in SCID mice.

SCID mice injected with HMy2 cells on day 0 and K121 Mab administered over 3 days (day 1, 2 and 3) at total dose levels of 3.0, 1.5, 0.3, 0.15 and 0 (PBS control) mg. Tumour growth was assessed by quantification of human IgG in mouse serum. Values plotted are means from 6 mice, except for the Week 6 value in the untreated group, where the value is from a single mouse.

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Figure 7. Effect of antibody dose on survival of tumour-bearing mice.

Figure 8. Human IgG levels in the serum of untreated and antibody-treated mice 42 days after injection of tumour cells. Values are for individual mice.

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*Human IgG not detectable; † mortalities

Figure 9. (a) The amino acid sequence and corresponding DNA sequence for the K121 heavy chain (VH) and light chain (VL) variable regions. The CDR regions are shown in bold type. **(b)** Overlapping oligonucleotides (VH1 -VH6) derived from the VH gene of K121. **(c)** Overlapping oligonucleotides (VL1 - VL6) derived from the VL gene of K121. **(d)** PCR primers for oligonucleotide extension of K121 VH. **(e)** PCR primers for oligonucleotide extension of K121 VL. **(f)** Schematic representation of a method for creating the K121 monoclonal antibody heavy and light chain variable regions using oligonucleotide extension.

20

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Figure 10. PCR primers for PCR amplification of K121 VH and VL genes.

The restriction enzyme sites for directional cloning into the mammalian expression vectors are underlined. The cK-VH-R and cK-VL-R primers include a splice acceptor site (shown in bold).

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Figure 11 (a) ELISA for detection and quantitation of human antibody in the transfected CHO cell supernatant. Culture supernatant from the transfected CHO cells was serially diluted and human antibody that bound to the

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immobilised goat anti-human IgG+A+M was detected with goat anti-human Fc specific AP conjugate. A standard curve was performed in parallel using serial dilutions of human IgG1 κ . Bound antibody was visualised by colour development and absorbance measured at 405 nm. **(b)** An ELISA for
5 detection of chimaeric K121 binding to human kappa light chain. Culture supernatant from transfected and untransfected CHO cells was serially diluted and antibody that bound to immobilised human kappa light chains was detected using goat anti-human Fc specific AP conjugate. After colour development bound antibody was detected by absorbance at 405 nm.

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Figure 12. Cytotoxic activity of chimaeric K121 (cK121) on HMy2 and K562 lymphoblastoid cells as measured by the leakage of cytoplasmic LDH. Target cells were incubated in the presence of PBS (control), 5.5 μ M murine K121 (mK121) or 0.8 μ M chimaeric K121 (cK121) for 20 h at 37°C in an
15 atmosphere of 5% CO₂.

Figure 13. Concentration of cK121 secreted by individual clones. The concentration of cK121 was determined by an ELISA using anti-human IgG, IgA and IgM coated wells. Data represents positive clones 1-5 and a
20 negative clone 6.

Figure 14 (a) Schematic representation of a method for humanisation of K121 VL using a human VL gene as a framework. **(b)** The DNA sequence of a human framework VL and K121 VL. **(c)** Oligonucleotides for K121 VL
25 humanisation using PCR.

Figure 15 (a) DNA sequence of K121 and human VH3 (hVH) variable heavy chain genes. **(b)** VH mutagenesis primers for use in humanisation of K121.

Detailed Description of the Invention

When used herein, the phrase "K121-like antibody" refers to an antibody that competes with an antibody having the VH and VL regions shown in Figure 9a for binding to kappa-type myeloma cells. Preferably, the term "K121-like antibody" refers to an antibody that binds to the same epitope as an antibody
5 having the VH and VL regions shown in Figure 9a.

The K121-like antibody preferably comprises the CDR loops (CDR1, CDR2 and CDR 3) of the K121 antibody as shown in Figure 9a. The K121-like antibody may comprise the VH and VL genes of the K121 antibody as shown in
10 Figure 9a.

K121-like antibodies may be identified by their ability to compete with K121 (or chimaeric or humanised forms of K121) in binding to KMA on HMy2 cells. In this procedure, K121 may be conjugated with biotin using established procedures (Hofmann K, et al. (1982) Biochemistry 21: 978-84). K121-like
15 antibodies are then evaluated by their capacity to compete with the binding of biotinylated K121 to KMA on HMy2 cells. The binding of biotinylated K121 to HMy2 cells may be assessed by the addition of fluorescein-labelled streptavidin which will bind to biotin on K121 molecules. Fluorescence staining of cells is then quantified by flow cytometry, and the competitive effect of the K121-like
20 antibody expressed as a percentage of the fluorescence levels obtained in the absence of the competitor.

For the purposes of this invention, the term "antibody", unless specified to the contrary, includes bivalent fragments of whole antibodies that retain their binding activity for a target antigen. Such fragments include, for example,
25 F(ab')₂ fragments.

In a preferred embodiment of the present invention, the K121-like antibody is a recombinant or monoclonal antibody. In a further preferred embodiment the antibody is a chimaeric or humanized antibody.

When used in the methods of the present invention, the K121-like
30 antibody is not conjugated to a toxin or cytolytic agent. By "toxin" we mean any toxin known in the art such as ricin, saprin, diphtheria toxin and *Pseudomonas* exotoxin. By "cytolytic agent" we mean an agent such as melittin that causes lysis of cells.

Throughout this specification the word "comprise", or variations such as
35 "comprises" or "comprising", will be understood to imply the inclusion of a stated element, integer or step, or group of elements, integers or steps, but not

the exclusion of any other element, integer or step, or group of elements, integers or steps.

Monoclonal antibodies

5 Monoclonal antibodies directed against KMA epitopes can be readily produced by one skilled in the art. The general methodology for making monoclonal antibodies by hybridomas is well known. Immortal antibody-producing cell lines can be created by cell fusion, and also by other techniques such as direct transformation of B lymphocytes with oncogenic DNA, or
10 transfection with Epstein-Barr virus. Panels of monoclonal antibodies produced against KMA epitopes can be screened for various properties; i.e. for isotype and epitope affinity.

 Mouse-derived monoclonal antibodies can be used for both direct *in vivo* and extracorporeal immunotherapy. However, it has been observed that when
15 mouse-derived monoclonal antibodies are used in humans as therapeutic agents, the patient produces human anti-mouse antibodies. Thus, mouse-derived monoclonal antibodies are not preferred for therapy, especially for long term use. With established genetic engineering techniques it is possible, however, to create chimaeric or humanized antibodies that have animal-derived
20 and human-derived portions. The animal can be a mouse or another rodent such as a rat.

 If the variable region of the chimaeric antibody is mouse-derived while the constant region is human-derived, the chimaeric antibody will generally be less immunogenic than a "pure" mouse-derived monoclonal antibody. These
25 chimaeric antibodies would likely be more suited for therapeutic use, should it turn out that "pure" mouse-derived antibodies are unsuitable.

Chimaeric Antibodies

 Methodologies for generating chimaeric antibodies are available to those
30 in the art. For example, the light and heavy chains can be expressed separately, using, for example, immunoglobulin light chain and immunoglobulin heavy chains in separate plasmids. These can then be purified and assembled *in vitro* into complete antibodies; methodologies for accomplishing such assembly have been described. See, for example, Scharff, M., Harvey
35 Lectures 69:125 (1974). See also Oi et al., Bio Techniques 4(4):214-221 (1986); and Sun et al. Hybridoma 5 (1986) Suppl 1:517-20. Such a DNA

construct may comprise DNA encoding functionally rearranged genes for the variable region of a light or heavy chain of a K121-like antibody linked to DNA encoding a human constant region. Lymphoid cells such as myelomas or hybridomas transfected with the DNA constructs for light and heavy chain can
5 express and assemble the antibody chains.

In vitro reaction parameters for the formation of IgG antibodies from reduced isolated light and heavy chains have also been described. See, for example, Beychok, S., Cells of Immunoglobulin Synthesis, Academic Press, New York, p. 69, 1979. Co-expression of light and heavy chains in the same
10 cells to achieve intracellular association and linkage of heavy and light chains into complete H₂L₂ IgG antibodies is also possible. Such co-expression can be accomplished using either the same or different plasmids in the same host cell.

Humanised antibodies

15 In another preferred embodiment of the present invention the K121-like antibody is humanised, that is, an antibody produced by molecular modeling techniques wherein the human content of the antibody is maximised while causing little or no loss of binding affinity attributable to the variable region of the murine antibody.

20 The methods described below are applicable to the humanisation of K121-like antibodies. A two-step approach may be used which involves (a) selecting human antibody sequences that are used as human frameworks for humanization, and (b) determining which variable region residues of the animal monoclonal antibody should be selected for insertion into the human framework
25 chosen.

The first step involves selection of the best available human framework sequences for which sequence information is available. This selection process is based upon the following selection criteria.

(1) Percent Identities

30 The sequences of the heavy and light chain variable regions of an animal monoclonal antibody that is to be humanised are optimally aligned and compared preferably with all known human antibody heavy and light chain variable region sequences.

Once the sequences are thus compared, residue identities are noted and
35 percent identities are determined. All other factors being equal, it is desirable

to select a human antibody which has the highest percent identity with the animal antibody.

(2) Sequence Ambiguities

In cases where sequences are derived by direct protein sequencing, the known human antibody chain sequences may be evaluated for the presence of unidentified residues and/or ambiguities, which are sequence uncertainties. The most common of such uncertainties are mistaken identification of an acidic amino acid for an amide amino acid due to loss of ammonia during the sequencing procedure, eg., incorrect identification of a glutamic acid residue, when the residue actually present in the protein was a glutamine residue. All other factors being equal, it is desirable to select a human antibody chain having as few such ambiguities as possible.

(3) Pin-region Spacing

Antibody chain variable regions contain intra-domain disulfide bridges. The distance (number of residues) between the cysteine residues comprising these bridges is referred to as the Pin-region spacing (Chothia *et al*, J. Mol. Biol. 196:901 (1987)). All other factors being equal, it is most desirable that the Pin-region spacing of a human antibody selected be similar or identical to that of the animal antibody. It is also desirable that the human sequence Pin-region spacing be similar to that of a known antibody 3-dimensional structure, to facilitate computer modeling.

Based upon the foregoing criteria, the human antibody (or antibodies) having the best overall combination of desirable characteristics is selected as the framework for humanisation of the animal antibody. The heavy and light chains selected may be from the same or different human antibodies.

The second step in the methods of this invention involves determination of which of the animal antibody variable region sequences should be selected for grafting into the human framework. This selection process is based upon the following selection criteria:

(1) Residue Selection

Two types of potential variable region residues are evaluated in the animal antibody sequences, the first of which are called "minimal residues." These minimal residues comprise CDR structural loops plus any additional residues required, as shown by computer modeling, to support and/or orient the CDR structural loops.

The other type of potential variable region residues are referred to as "maximal residues." They comprise the minimal residues plus any additional residues which, as determined by computer modeling, fall within about 10 Å of CDR structural loop residues and possess a water solvent accessible surface (Lee *et al*, J. Biol. Chem. 55:379 (1971)).

(2) Computer Modeling

To identify potential variable region residues, computer modeling is carried out on (a) the variable region sequences of the animal antibody that is to be humanised, (b) the selected human antibody framework sequences, and (c) all possible recombinant antibodies comprising the human antibody framework sequences into which the various minimal and maximal animal antibody residues have been grafted.

The computer modeling is performed using software suitable for protein modeling and structural information obtained from an antibody that (a) has variable region amino acid sequences most nearly identical to those of the animal antibody and (b) has a known 3-dimensional structure. An example of software that can be used is the SYBYL Biopolymer Module software (Tripos Associates). The antibody from which the structural information can be obtained may be but need not necessarily be a human antibody.

Based upon results obtained in the foregoing analysis, recombinant chains containing the animal variable regions producing a computer modeling structure most nearly approximating that of the animal antibody are selected for humanisation.

Wholly human antibodies can be made by using human immunoglobulin expression libraries (Stratagene Corp., La Jolla, Calif.) to produce fragments of human antibodies (V_H , V_L , F_V , F_d , Fab , or $F(ab')_2$), and using these fragments to construct whole human antibodies using techniques similar to those for producing chimaeric antibodies.

Modes of Administration

K121-like antibodies may be administered directly to a subject in need of treatment for multiple myeloma.

The growth of tumour cells may be inhibited or reduced by administering to a subject in need of the treatment an effective amount of a K121-like antibody. Typically, the antibody may be administered in an amount of about 0.001 to 2000 mg/kg body weight per dose, and more preferably about 0.01 to

500 mg/kg body weight per dose. Repeated doses may be administered as prescribed by the treating physician. However, other amounts are also suitable. Generally, the administration of the antibody is conducted by infusion so that the amount of antibody present that may produce a detrimental effect may be kept under control by varying the rate of administration. Typically, the infusion of one dose may last a few hours. However, also contemplated herein is the constant infusion of a dose for therapeutic purposes that will permit the maintenance of a constant level of the antibody in serum. The infusion of the K121-like antibody may be conducted as follows. Intravenous (I.V.) tubing may be pretreated, e.g., with 0.9% NaCl and 5% human serum albumin and placed for intravenous administration. The I.V. infusion may comprise a total volume of 250 ml of 0.9% NaCl and 5% human serum albumin and be infused over a period of about 2 hours depending on any rate-dependent side effects observed. Vital signs should be taken, for example, every fifteen minutes during the infusion and every one hour post infusion until stable. A thorough cardiopulmonary physical examination may be done prior to, and at the conclusion, of the infusion. Medications including acetaminophen, diphenhydramine, epinephrine, and corticosteroids may be kept at hand for treatment of allergic reactions should they occur. The administration of the antibody may be repeated as seen desirable by a practitioner.

As will be appreciated by those skilled in the art, some myeloma patients have significant levels of free kappa light chain in their circulation. As K121-like antibodies react with free kappa light chains, their presence in the fluid of the subject may reduce the efficiency of the treatment. Accordingly, in a preferred embodiment of the invention the method of treatment further comprises the step of treating the subject to reduce the levels of free kappa light chains circulating in the fluid (e.g. blood) of the subject prior to administration of the K121-like antibody. This additional treatment step may involve, for example, plasmapheresis. As will be known by those skilled in the art, plasmapheresis is a process in which the plasma is removed from blood cells by a device known as a cell separator. The separator works either by spinning the blood at high speed to separate the cells from the fluid or by passing the blood through a membrane with pores so small that only the plasma can pass through. The cells are returned to the subject, while the plasma, which contains the free kappa light chains, is discarded and replaced with other fluids. Medication to

keep the blood from clotting (e.g. an anticoagulant) may be given through a vein during the procedure.

K121-like antibodies are also applicable to the purging of malignant plasma cells from biological samples, be it fluid or tissue samples. The purging of myeloma cells from a fluid sample is part of the invention and may be practiced by contacting a biological fluid suspected of comprising malignant plasma cells with a K121-like antibody that is capable of selectively binding to and causing apoptosis of the malignant cells. This method may be utilized for purging unwanted cells *ex vivo* by extracting a biological sample from a patient, eliminating the malignant cells by apoptosis induced by K121-like antibodies and then replenishing the purged sample to the patient.

It will be appreciated that methods of treating multiple myeloma involving the use of a K121-like antibody may be performed in isolation or as an adjunct to known chemotherapy or radiotherapy regimes. For example, K121-like antibody treatment may be conducted in conjunction with or after treatment with drugs such as melphalan or cyclophosphamide.

In order that the present invention may be more clearly understood preferred forms will be described with reference to the following non-limiting examples.

20

Example 1: Assessment of Cytotoxicity

Cytotoxic activity of mAb K121 was evaluated using the CytoTox96 Non-Radioactive Cytotoxicity Assay Kit (Promega) which measures lactate dehydrogenase (LDH) released into the supernatant by cells during cell lysis. HMy2 (KMA positive) and K562 (KMA negative) cells were harvested from stock cultures and resuspended at 1×10^6 cells/ml in 2xRPMI supplemented with 5% FBS. Aliquots of 3×10^4 cells were added to individual wells of a 96 well tissue culture plate. K121 mAb, at concentrations of 2.5, 5.0, 7.5, 10, 12.5 μ M, was added in a volume of 30 μ l to appropriate wells in duplicates. After 20 h incubation at 37°C in an atmosphere of 5% CO₂, the supernatant from each well was collected and centrifuged at 3000 g for 1 min. Clarified supernatant (50 μ l) was transferred to another 96 well microtitre assay plate and mixed with an equal volume of substrate. The plate was incubated at room temperature in the dark for 30 min and the reaction stopped with 50 μ l stop solution. Absorbance values were measured on an Organon Teknika microelisa plate reader (Turnhout, Belgium) at 492 nm. Culture medium (1xRPMI

supplemented with 2.5% FBS) alone was included as a background control because FBS and phenol red in the medium can result in apparent elevated LDH levels. For time course studies, HMy2 cells were incubated with 12.5 μ M of K121 mAb and the culture supernatant was harvested at 4, 8, 12, 16 and 20 hours after addition of the antibody.

Example 2: Apoptosis Assays

The mechanism of the cytotoxic activity of K121 on HMy2 cells was evaluated in 2 ways, Annexin V binding and the TUNEL assay. A parallel LDH assay was carried out during both assays to confirm that cell death occurred.

Annexin V Binding. AnnexinV is a protein that binds specifically to phosphatidyl-serine in the cell membrane. Binding occurs once the membrane has started to break down and the phospholipid "flips out" into the extracellular media. As a result this method measures the earliest stage of apoptosis. The Annexin V binding method is described briefly. HMy2 cells were harvested from stock cultures and resuspended in 1xRPMI supplemented with 5% FBS to a density of 1×10^6 cells/ml. Five hundred microlitre aliquots of cells were added into a 6 well tissue culture plate. An equal volume of K121 mAb at a concentration of 10.7 μ M was added to the cells and the assay tray incubated at 37°C in an atmosphere of 5% CO₂. For the negative control, an equal volume of PBS was added. Cells were harvested by centrifugation from the wells at t=16 and 20 h. An aliquot of the supernatant was assayed for extracellular LDH and the cell pellet was washed in binding buffer (10 mM HEPES, 140 mM NaCl and 2.5 mM CaCl₂·2H₂O). Washed cells were resuspended in 100 μ l of binding buffer and incubated with 2 μ l of annexin V-FITC (Bender MedSystems, Vienna, Austria) for 15 min at room temperature. An additional 400 μ l of binding buffer was added and the cells counter stained with 1 μ l of 1 mg/ml propidium iodide (Sigma-Aldrich, St Louis, MI) on ice for 15 min. The cells were then analysed by flow cytometry using a FACScan (BD).

TUNEL Assay. In the final stages of apoptosis, the chromosomal DNA undergoes a characteristic pattern of fragmentation. The TUNEL assay relies on a terminal transferase enzyme to label the 3' ends of the fragmented DNA. Therefore, this assay is a measure of the final stages of apoptosis. In brief, duplicate wells containing HMy2 cells in the presence of K121/or PBS were setup and incubated as described in the previous section. The TdT-mediated dUTP nick-end labelling (TUNEL) assay was performed using the Apoptosis

Detection System Fluorescein (Promega, Madison, WI). Cells were prepared and the assay performed as described by the manufacturers. Briefly, the cells were washed in PBS and fixed with 10% formaldehyde followed by 70% alcohol. Intracellular DNA was enzymatically labelled with fluorescein-12-dUTP at the 3' end and analysed on the FACScan.

Example 3: SCID mouse tumour model

In order to evaluate the potential anti-tumour effects of K121 *in vivo*, 6 week-old SCID mice were injected intraperitoneally (i.p.) on day 0 with HMy2 cells. Subsequent to the injection of tumour cells, mice were administered either K121 antibody or PBS by i.p. injection. As HMy2 cells secrete human IgG, the progression of tumour growth was monitored by quantification of human IgG in the serum of recipient mice using a human IgG-specific immunoassay.

Example 4: Quantitation of Human IgG

An enzyme linked immunosorbent assay (ELISA) was used to quantify the levels of human IgG in the sera of SCID mice. Protein A in PBS-Az (50 µl/well of 100 µg/ml) was incubated in a 96 well ELISA plate at 37°C for 1 hour. The wells were washed 3 times with PBS-Az and non-specific binding sites were blocked with 3% BSA in PBS-Az at 37°C for 1 hour. The wells were washed twice in PBS-Az and incubated at 37°C for 1 hour with 50 µl/well of mouse serum diluted 2.5 fold with 1% BSA in PBS-Az. Following 3 washes with PBS-Az, the bound antibodies were detected with 50 µl/well of goat anti-human κ-light chain AP conjugated (1:1000 dilution in 1% BSA-PBS-Az) at 37°C for 1 hour. The bound antigen-antibody complexes were visualized by the addition of p-nitrophenyl phosphate (pNPP) substrate (50 µl/well at 1mg/ml) in ELISA substrate buffer (0.1M glycine, 1mM MgCl₂, 1mM ZnCl₂, pH10.4) following 2 washes in PBS-Az, one in MilliQ water and one in ELISA substrate buffer. Colour was developed at room temperature for 10 min and the reaction was stopped by the addition of 3M NaOH (50 µl/well). Absorbance was determined at 405 nm using an Organon Teknika microelisa plate reader (Turnhout, Belgium).

For the quantification of human IgG, a standard curve was included in the assay. Mouse serum was replaced with serial dilutions of purified human IgG1 kappa (6µg/ml- 6ng/ml; Serotec Ltd, Oxford, England).

Example 5: Incubation with K121 results in the death of antigen-bearing cells.

Incubation of HMy2 and K562 cells with K121 revealed that the mAb induces specific target cell death in both a time and concentration dependant manner (Figure 1). The cytotoxic activity of K121 was first detected
5 approximately 12 hr after addition to the target cell culture and the level of cell death increased over the following 8 hrs. The cytotoxic effect of K121 was maximal at a final concentration of 3.6 μ M with significant cytotoxicity being detected at 2.5 μ M. The observed cytotoxic activity of K121 occurred in the
10 absence of added accessory effector cells or serum components (ie. complement).

HMy2 cells incubated in the presence or absence of K121 were observed at 200x magnification under an inverted light microscope. Concurrent studies of HMy2 cells incubated with a scFv-mel immunotoxin (Dunn, RD. *et al.*, (1996) *Immunotechnology* 2: 229) were carried out. Cells incubated with
15 K121 Mab showed signs of cell shrinkage and membrane "blebbing". By contrast the scFv-mel caused clumping of the cells and membrane lysis.

Flow cytometric analysis of K121 treated HMy2 cells showed an increase in 90⁰ light scatter properties compared to untreated cells (Figure 2) which reflects an increase in internal granularity and chromatin condensation in the
20 antibody treated cells. There was a concomitant decrease in forward light scatter properties of antibody treated cells which is indicative of cell shrinkage. The combination of increased granularity, chromatin condensation and cell shrinkage exhibited by the K121 treated cells suggested that these cells were dying as a result of induction of apoptosis.

25

Example 6: The interaction of K121 with target cells induces apoptosis.

Two independent assay systems were used to determine the mechanism by which K121 kills antigen-bearing cells. Early stage apoptosis was assessed by the binding of annexin V to K121 treated cells. Immunofluorescence
30 staining with annexin V-FITC revealed increasing numbers of positively stained HMy2 cells 16 and 20 hrs after initiating treatment with K121 compared to non-antibody treated cells (Figure 3a). The increasing percentage of annexin V stained cells correlated with increased proportion of dead cells as determined by staining with propidium iodide (Figure 3a) and leakage of LDH (Figure 3b).

35 Analysis of DNA fragmentation, a late stage process in apoptosis, was assessed using the TUNEL method. The shift in fluorescence observed in

HMy2 cells incubated with K121 was significant after 16 and 20 hours incubation when compared to untreated cells (Figure 4a) and is typical of the DNA fragmentation pattern associated with apoptosis. Once again, the measurement of apoptosis correlated with increasing numbers of dead cells as determined by leakage of LDH (Figure 4b). When taken together these data show that K121 treated cells are undergoing apoptosis.

Example 7: K121 prevents the growth of HMy2 tumour cells in mice

SCID mice that had received 10^7 HMy2 cells on day 0 were administered either 3 consecutive doses of K121 (1.25 mg each) (n=6) or scFv-mel (0.5 mg each) (n=7) on days 1,2 and 3 or PBS (1.25 mg each) (n=6) as a treatment control. Blood samples were taken before administration of the tumour cells and at weekly intervals post injection of tumour cells, and the growth of HMy2 cells was monitored by the appearance of human IgG in the serum of the animals. In the PBS-treated control mice, human IgG was detected in the serum of 6/6 animals (Figure 5a) with the time for initial detection of human IgG ranging from 3 weeks to 8 weeks post injection of tumour cells. Similarly, mice treated with an immunotoxin comprising the cytolytic peptide melittin linked to a K121 scFv fragment (scFv-mel) showed elevated human IgG levels 3 weeks after injection of cells (Figure 5b). By contrast, human IgG was not detected in the serum of K121-treated animals over the same period (Figure 5c). In general, the PBS and scFv-mel treated animals exhibited abdominal swelling, became lethargic and, after 9 weeks, 5/6 mice had died. Mice treated with K121 did not display these symptoms and all were alive at week 9, at which time one mouse from this group, together with the surviving animal from the control group, was sacrificed and dissected. The K121 treated animal had no gross organ abnormalities. The untreated animal, however, had a large tumour mass in the abdominal cavity, an enlarged spleen and wasting of the lungs. Tissue samples from both animals are currently being examined by immunocytochemical techniques for HMy2 infiltration. These studies clearly demonstrated that K121 alone is capable of preventing growth of human lymphoblastoid tumour cells in an immunodeficient (SCID) mouse model.

In a second example, SCID mice that were injected with 10^7 HMy2 cells on day 0 received varying dosage levels of K121 on days 1, 2 and 3 as follows:

Group 1; PBS control (n=6)

Group 2; 1.0 mg K121 per dose. Total antibody dosage, 3.0 mg (n=6)

Group 3; 0.5 mg K121 per dose. Total antibody dosage, 1.5 mg (n=6)

Group 4; 0.1 mg K121 per dose. Total antibody dosage, 0.3 mg (n=6)

Group 5; 0.05 mg K121 per dose. Total antibody dosage, 0.15 mg (n=6)

5 Tumour progression was monitored by quantification of human IgG in the serum of the mice.

All untreated mice developed elevated levels of human IgG by day 28 (Figure 6) and the majority (4/5) of this group died by day 42 (Figure 7). Postmortem examination revealed enlarged spleens and macroscopic tumours in liver and kidney. Tumour bearing mice treated with K121 showed either
10 delayed onset of tumour progression or complete absence of tumour growth as indicated by levels of human IgG in serum (Figures 6 and 8) and postmortem examination upon termination of the experiment at day 56. Across the 4 treatment groups 7 of 24 mice showed undetectable levels of human IgG in their serum at day 42 (Figure 8). Six of these mice showed no gross signs of
15 tumour growth at postmortem examination on day 56. All untreated mice died or were euthanased for ethical reasons by day 49, while 13/24 mice in the antibody treatment groups survived until day 56 (Figure 7).

In summary, tumour-bearing mice responded to K121 treatment in a dose dependent manner. Complete absence of tumour growth at day 42 was
20 apparent in 30% of mice, with this effect being most pronounced in the mice receiving a total dosage of 1.5mg K121 (Figure 8). Tumour growth was rapid and aggressive in untreated mice, with 100% mortality by day 49 (Figure 7). At this time point, the combined treatment groups showed mortality of less than
25 10%.

Example 8: Synthesis of a K121 like antibody by oligonucleotide assembly using PCR

An example of the strategy used to create a monoclonal antibody by extension of synthetic oligonucleotides using the PCR has previously been
30 described in the literature (Sato *et al.* (1994) *Molecular Immunology* 31 (5): 371). In order to create a K121 like monoclonal antibody from the published DNA sequence (as shown in Figure 9a) the VH gene may be divided into six overlapping oligonucleotides VH1- VH6 (Figure 9b). Likewise the K121 VL gene may be divided into six overlapping oligonucleotides VL1-VL6 (Figure 9c).
35 Three of the VH oligonucleotides would have the sense DNA sequence (Figure 9d, VH1, 3 and 5) and three would have the anti-sense DNA sequence (Figure

9d, VH2, 4 and 6). Similarly, three of the VL oligonucleotides would have the sense DNA sequence (Figure 9e, VL1, 3 and 5) and three would have the antisense DNA sequence (Figure 9e, VL2, 4 and 6).

The first PCR using a Taq polymerase would assemble the three sets of oligonucleotides to produce three double stranded DNA fragments (Figure 9f). The three products of the first amplification would then be gel extracted and the isolated DNA fragments would be used as templates for assembling the full gene sequence using a Taq polymerase. In the final assembly of the VH gene a PCR primer complementary to the 5' region of the gene (VHF) and an antisense primer complementary to the 3' region of the gene (VHR) would be used to create the complete K121 VH gene sequence. Similarly PCR primers to the 5' (VLF) and 3' (VLR) regions of the VL gene should be used for amplification of the complete K121 VL gene. The final gene products should be sequenced to confirm the presence and fidelity of the full V genes.

The synthesised K121 VH and VL genes should then be ligated into a mammalian expression vector containing the relevant murine Ig constant region genes; C γ 1 for the heavy chain and C κ for the light chain. A mammalian cell line should then be transfected with the resulting vectors and the expression of functional K121 should be monitored by immunoassay as described for the chimaeric antibody.

Example 9: Construction of the chimaeric antibody, cK121

Isolation of K121 VH and VL genes

The variable region genes of the heavy chain (K121 VH) and the light chain (K121 VL) of the monoclonal antibody, K121, were isolated by PCR. The template for amplification of the VH and VL gene was the scFv-mel gene construct. PCR primers (Figure 10) used for amplification were designed to introduce compatible restriction sites for directional cloning into the mammalian expression vectors pCMV- γ 1 and pCMV-KR (Mahler *et al.*, (1997) Immunotechnology 3:31).

The products of the PCR amplification were separated by electrophoresis on a 1% agarose gel and the DNA bands of the expected size were extracted using a QIAquick gel extraction kit (Qiagen, Germany). The isolated gene fragments were then ligated into the pGEM-T vector and transformed into competent bacterial cells, JM109 (Promega, USA). After overnight incubation, PCR screening using vector specific primers (M13)

identified the colonies containing an insert. Positive clones of VH and VL were chosen and plasmid DNA was prepared using the Wizard Mini-prep kit (Promega, USA). Two clones representing VH and VL were sequenced on an ABI automated DNA sequencer by Sydney University Prince Alfred
5 Macromolecular Analysis Centre (SUPAMAC). The DNA sequences of K121 VH and VL were identical to those shown in Figure 9a with the additional restriction enzyme sites and nucleotides incorporated in the PCR primers (Figure 10).

10 *Ligation of K121 VH and VL into the expression vector*

The genes for K121 VH and VL were restricted from pGEM-T clones using the restriction enzymes Bam HI and ApaLI. Restricted VH and VL inserts were purified by gel extraction. A leader sequence for the heavy and light chains was isolated by restriction of pCMV- γ 1 with Apa LI and Hind III followed
15 by gel extraction of the leader sequence insert. The Hind III and Apa LI digested leader sequence was ligated simultaneously with the K121 VH and VL genes into restricted Hind III and Bam HI pCMV- γ 1 and pCMV-KR respectively. After transformation into competent JM109 cells, colonies containing inserts were screened by PCR using the VH and VL gene specific primers. Plasmid
20 DNA was prepared from positive clones and the inserts were confirmed by restriction enzyme digestion with Bam HI and Hind III. At this stage the pCMV- γ 1-cVH and pCMV-KR-cVL plasmids should be sequenced using vector specific primers to confirm the correct K121 VH and VL DNA sequence.

25 *Transfection of expression plasmids into CHO cells*

CHO-K1 (Chinese Hamster Ovarian) cells were grown in DMEM/F12 medium with 10% FBS (Sigma Aldrich, USA) and incubated at 37°C in an atmosphere of 5% CO₂. A total of 5 μ g of the plasmid preparations, pCMV- γ 1-cVH and pCMV-KR-cVL, were incubated with 4 x 10⁶ CHO cells in 200 μ l of
30 RPMI medium (Sigma Aldrich, USA) supplemented with 10% FBS and 2 mM L-glutamine (Trace Biosciences, NSW). The cell/DNA mixture was placed in a cuvette and electroporation was carried out in a Gene Pulser (BioRad, USA) at the following settings; resistance 100 ohms, volts 0.3, capacitance Ext 960 μ FD and time constant 33-38 msec. Afterwards the cells were transferred to 10 ml
35 of DMEM/F12 medium with 10% FBS and grown for 48 hrs at 37°C in an atmosphere of 5% CO₂. Selection of transfected cells was performed using the

neo selection medium containing 400 µg/ml of G418 antibiotic (GENETICIN, Sigma Aldrich, USA) in DMEM/F12. After 3 days the culture supernatant was replaced and the cells were expanded to 150 cm² tissue culture flasks. After 7 days in selection medium the expression of cK121 was assessed by two
5 separate ELISA's.

Example 10: Assessment of the expressed chimaeric antibody, cK121

The procedure for the ELISA was carried out as detailed in Example 4 with some changes in specific reagents. Briefly, to determine expression of a
10 chimaeric antibody containing the human Fc region, an ELISA was performed by coating the wells of a 96 well plate with goat anti-human IgG, IgA and IgM (50 µl/well of 10 µg/ml). Conditioned medium from the transfected CHO cells was then incubated in the wells, followed by a goat anti-human (Fc-specific)-AP conjugate and colour development with the substrate pNPP (Sigma Aldrich,
15 USA). A standard curve for the first ELISA was prepared using serial dilutions of human IgG1 kappa (6 µg/ml-6 ng/ml). In parallel, clarified supernatant from transfected CHO cells was subjected to serial dilution and the samples were analysed (Figure 11a). A dilution curve similar to the standard curve was obtained for the cK121 expressing CHO cells. The estimated concentration of
20 cK121 in the CHO cell conditioned medium was 6 ng/µl.

A second ELISA was carried out to demonstrate binding of the expressed antibody to the antigen specifically recognised by K121. The wells of the second assay plate were coated with human free kappa light chains (50 µl/well of 100 µg/ml solution). In the second ELISA, clarified CHO cell
25 supernatant showed binding to human free kappa light chains over a range of sample dilutions (Figure 11b). By comparison no binding was observed for untransfected CHO cell conditioned medium.

Example 11: Purification of cK121

Purification of cK121 was carried out by ammonium sulphate
30 precipitation of proteins in the conditioned CHO cell medium (1.4 liter). After extensive dialysis of the resolubilized protein in PBS-Az the sample was subjected to affinity purification on Protein A agarose (Sigma Aldrich, USA). Eluted samples were dialysed in PBS pH 7.4, concentrated on an Amicon stirred cell (Millipore, USA) and filter sterilized (Minisart RC15, 0.2 µm,
35

Sartorius, AG). The concentration of cK121 was estimated using an extinction coefficient of 14 at an absorbance of 280 nm.

Example 12: Cytotoxicity of cK121 on HMy2 and K562 lymphoblastoid cells

5 Cytotoxicity of cK121 on HMy2 and K562 lymphoblastoid cells was determined using the leakage of cytoplasmic LDH assay as described in Example 1. The purified cK121 exhibited significant cytotoxic activity against antigen-positive HMy2 cells and did not react with the non-antigen bearing cell line, K562 (Figure 12). These results indicate that cK121 has retained the
10 ability to induce cell death in the target cell line. To confirm that the mechanism of cell death is apoptosis the assays described in example 2 should be performed on HMy2 cells using purified cK121.

Example 13: Selection of stable cK121 secreting cells

15 Large-scale production of cK121 requires selection of a cell line that is capable of stable antibody secretion in the absence of selection antibiotic, G418. Therefore, clones from the wells that produced positive results in both ELISA's (A 405nm >0.2) were selected and cloned by limiting dilution. Subsequently, CHO cells from single clones that were capable of producing
20 secreted cK121 were grown in DMEM-F12 without the antibiotic. Cells that continued to secrete cK121 in the absence of antibiotic were selected as stable cK121 producing cell lines. Conditioned medium from cK121 CHO cell lines was assessed by immunoassay as described in Example 9 and the amount of antibody produced was determined from the human IgG1 kappa standard
25 curve. Figure 13 shows 5 positive clones that were selected for future expression (clones 1-5). A negative clone was included as a control sample.

In order to carry out further functional studies on the cK121 clones produced in Example 13 a large-scale expression experiment may be conducted. The cK121 may be purified and *in vivo* animal study experiments
30 may be performed.

Example 14: Strategy to humanise the K121 variable light chain region gene (VL) using a V_κ human VL framework region

35 A schematic outline of the PCR procedure used to humanise K121 VL is depicted in Figure 14a. The human VL framework region (hVL) was previously identified and isolated from cDNA using a PCR based strategy previously

described (Asvadi, PhD Thesis, UTS, 1998). Plasmid DNA from a single clone containing the hVL gene was sequenced and is compared with the DNA sequence of K121 VL in Figure 14b. DNA sequence shown in bold encodes the complementarity determining regions (CDR's) of K121. The oligonucleotides used to incorporate the DNA sequence for CDR1, CDR2 and CDR3 of K121 into the framework region of hVL are shown in Figure 14c. The framework region containing the K121 CDR2 can be distinguished from hVL by digestion of the genes with the restriction enzyme Age1 and the restriction site is shown in the PCR primer VLC.

PCR amplification

As shown in Figure 14a the template for PCR amplification was the hVL gene and the reactions in the first PCR were carried out using primer pairs VLA + VLE, VLB + VLF, VLC + VLG and VLD + VLH. Amplification of the four fragments was carried out using the TITANIUM Taq PCR kit (Clontech, CA). The products from each reaction were visualised by agarose gel electrophoresis with ethidium bromide and isolated by gel extraction (Promega, MA). A second PCR was carried out to assemble the four amplified fragments. The resulting amplified product was approximately 380 bp. The DNA band was gel extracted and ligated into the vector pGEM-T according to the protocol recommended by the manufacturer (Promega, MA). An aliquot of the ligation mixture was transformed into JM109 heat competent cells and samples were plated out on LB-amp plates. Following overnight incubation at 37°C, single colonies were picked and grown overnight in SOC medium according to the protocol (Promega, MA). Plasmid DNA was isolated using a Wizard Plus Miniprep DNA purification system. Ten clones were subjected to digestion with the restriction enzymes Age1 and Bam H1 according to the recommended digestion procedure (Promega, MA). Products from the digestion were visualised on a 1 % agarose gel containing ethidium bromide. A single clone that produced a DNA fragment of approximately the correct size should be sequenced to confirm that the insert contains the modified VL gene. The humanised K121 VL gene should then be ligated into the mammalian expression vector pCMV-KR as described in Example 9.

Example 15: Humanisation of the K121 variable region gene of the heavy chain (VH) using a human VH3 framework gene

The human heavy chain variable region gene, hVH, was isolated and cloned using a PCR strategy as described in Example 14. A pGEM-T plasmid
5 containing the hVH gene was used as template for the QuikChange Site-Directed Mutagenesis Kit procedure (Figure 15a). Primers used to incorporate the three CDR's from K121 VH into the hVH framework are depicted in Figure 15b. After each round of mutagenesis the plasmid should be sequenced to confirm that the DNA sequence is correct. The humanised K121 VH gene
10 should then be ligated into the mammalian expression vector pCMV- γ 1 as described in Example 9.

Discussion

The experiments detailed herein demonstrate that the murine
15 monoclonal antibody K121 induces cell death in a human lymphoblastoid cell line, HMy2, in the absence of any accessory effector cells or added serum complement proteins. We have previously shown that HMy2 cells express an antigen, KMA, which is recognized by K121. When HMy2 cells were incubated with K121 alone at a concentration of 3.6 μ M, significant cell death occurred as
20 indicated by the release of intracellular LDH (Figure 1).

The specificity of the cytotoxic activity of K121 is demonstrated by the fact that treatment of a KMA negative cell line, K562, did not result in significant cell death (Figure 1).

Microscopic observation of HMy2 cells incubated in the presence or
25 absence of K121 indicated that cell death occurred in the presence of K121. In the presence of K121 cells appeared to shrink and there was evidence of membrane "blebbing". These effects are typical of cells undergoing a process termed programmed cell death or apoptosis (Kerr, JFR. *et al.*, (1972) Br J Cancer 26:239). By contrast, incubation of HMy2 cells with the immunotoxin
30 scFv-mel resulted in cell clumping and membrane lysis. Clearly, the appearance of cells incubated with K121 was different to those incubated with the immunotoxin, scFv-mel. These preliminary observations suggest that the mechanism resulting in cell death using K121 is different to the cytotoxic effect of scFv-mel.

35 Analysis of the light scatter properties of HMy2 cells undergoing K121-induced cell death revealed changes in internal structure and size of the cells

that were consistent with apoptosis (Figure 2). This interpretation of the mechanism by which K121 kills target cells was confirmed by two separate assays for apoptosis that measure early and late stages of the process respectively (Figures 3 & 4). Thus, K121, in the absence of any exogenous factors, induces apoptosis in KMA-bearing cells *in vitro*. Furthermore, K121 prevents the growth of tumour cells *in vivo*. Administration of K121 to mice that had received a tumour-inducing dose of HMy2 cells prevented tumour growth as measured by the presence of human IgG in the serum of recipient mice (Figure 5). Tumour growth was observed in all mice in the PBS treated group as indicated by levels of serum human IgG and gross morphology upon dissection.

Apoptosis is an important biological event involved in embryonic development and, in particular, the development and functioning of the immune system (Mastrangelo AJ. and Betenbaugh M. (1998) TIBTECH. 16:88). In contrast to cell death arising from necrosis, apoptosis occurs in the absence of any pathology and does not evoke an inflammatory response (Kerr, JFR. *et al.*, (1972) Br J Cancer 26:239). This is an important consideration with regard to the use of potential therapeutic agents that may trigger apoptosis in target cells.

All publications referred to above are incorporated herein in their entirety by reference.

Any discussion of documents, acts, materials, devices, articles or the like which has been included in the present specification is solely for the purpose of providing a context for the present invention. It is not to be taken as an admission that any or all of these matters form part of the prior art base or were common general knowledge in the field relevant to the present invention as it existed in Australia before the priority date of each claim of this application.

It will be appreciated by persons skilled in the art that numerous variations and/or modifications may be made to the invention as shown in the specific embodiments without departing from the spirit or scope of the invention as broadly described. The present embodiments are, therefore, to be considered in all respects as illustrative and not restrictive.

Claims:

1. A method for the treatment of kappa-type multiple myeloma in a subject, the method comprising administering to the subject an effective amount of a
5 K121-like antibody, wherein the K121-like antibody is not conjugated to a toxin or a cytolytic agent.
2. A method as claimed in claim 1 which further comprises the step of
10 treating the subject to reduce the levels of free kappa light chains present in the fluid of the subject prior to administration of the K121-like antibody.
3. A method as claimed in claim 2 wherein the levels of free kappa light chains are reduced by plasmapheresis.
- 15 4. A method for autologous hematopoietic cell transplantation in a subject, the method comprising
 - (i) removing a hematopoietic progenitor cell population from the subject,
 - (ii) treating the cell population with a K121-like antibody, and
 - (iii) transplanting the treated cell population from step (ii) into the
20 subject,wherein the K121-like antibody is not conjugated to a toxin or a cytolytic agent.
5. A method as claimed in claim 4 wherein, the method further comprises
25 intravenous infusion of a K121-like antibody into the subject.
6. A method as claimed in claim 4 or claim 5 wherein the method of autologous transplantation is performed on the subject during or after
30 cytoreductive therapy.
7. A method for killing kappa-type myeloma cells in a mixed population of cells, the method comprising contacting the mixed population of cells with a K121-like antibody, wherein the K121-like antibody is not conjugated to a toxin or a cytolytic agent.
35

8. A method for inducing apoptosis in KMA bearing cells, the method comprising exposing the cells to a K121-like antibody, wherein the K121-like antibody is not conjugated to a toxin or a cytolytic agent.
- 5 9. A method as claimed in claim 8 wherein the KMA bearing cells are kappa-type myeloma cells.
- 10 10. A method as claimed in any one of claims 1 to 9 wherein the K121-like antibody is a monoclonal antibody.
11. A method as claimed in any one of claims 1 to 9 wherein the K121-like antibody comprises the CDR loops (CDR1, CDR2 and CDR 3) of the K121 antibody as shown in Figure 9a.
- 15 12. A method as claimed in any one of claims 1 to 9 wherein the K121-like antibody comprises the VH and VL genes of the K121 antibody as shown in Figure 9a.
- 20 13. A method as claimed in any one of claims 1 to 12 wherein the K121-like antibody is a chimaeric antibody or a humanised antibody.

1/25

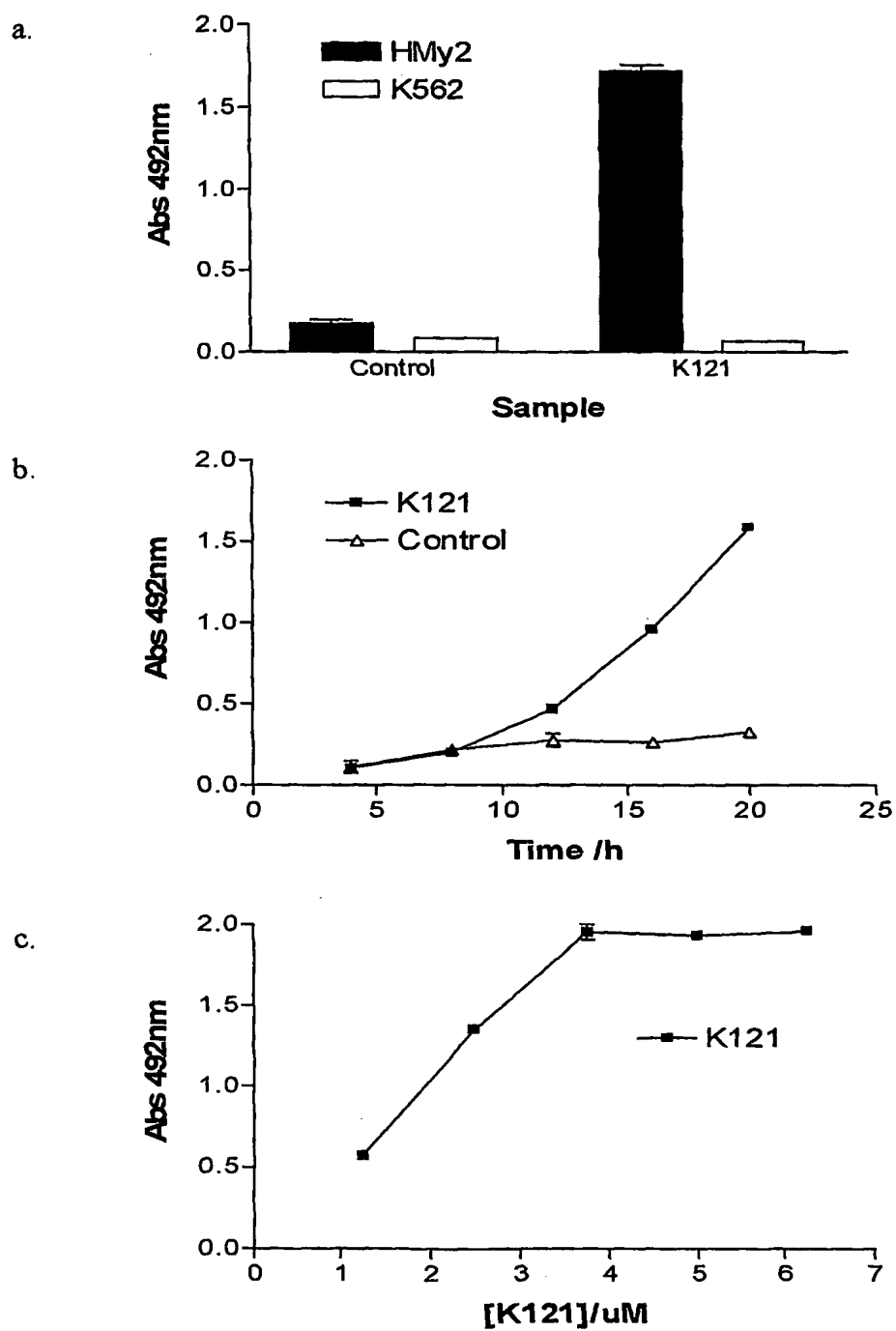


Figure 1

2/25

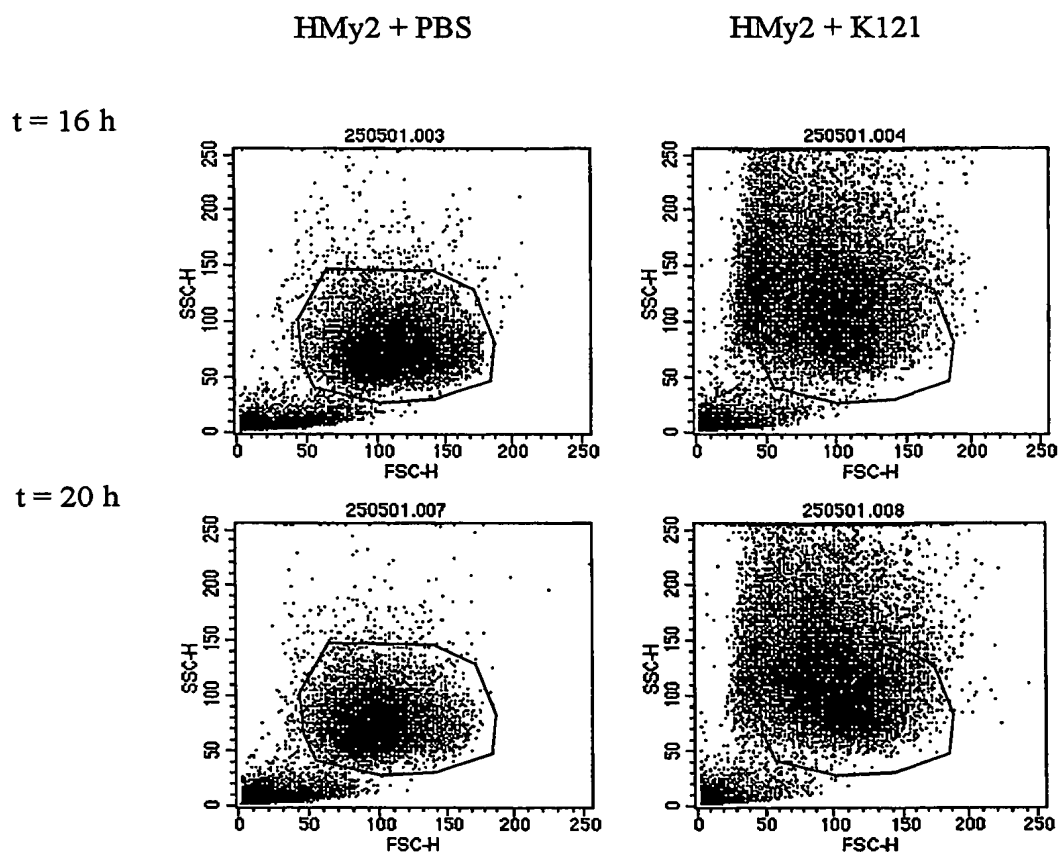


Figure 2

3/25

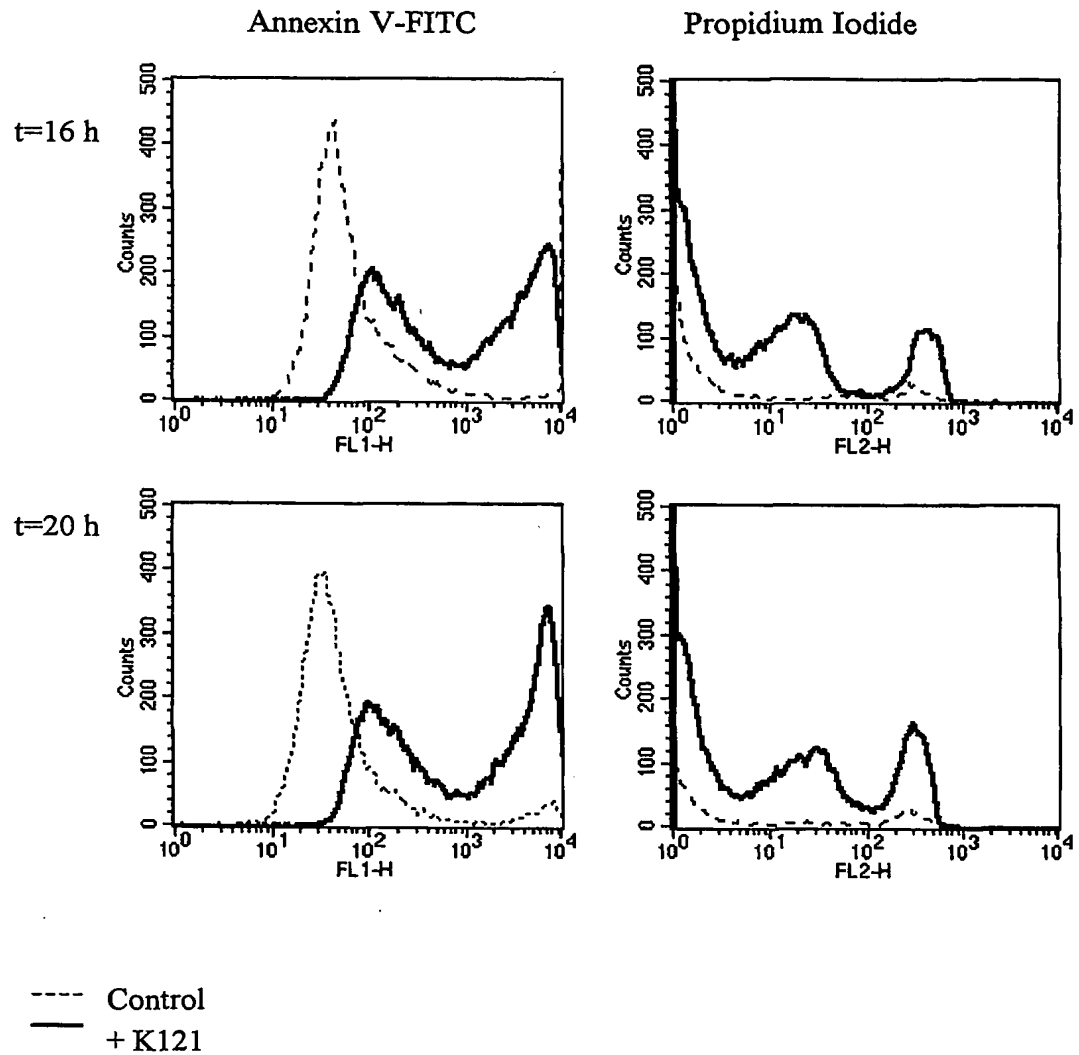
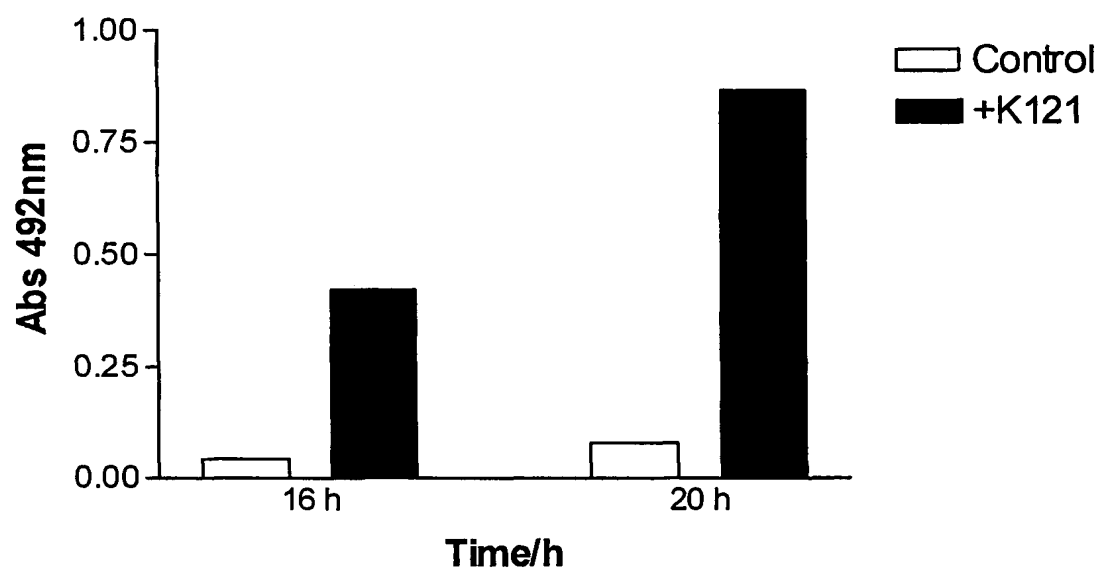


Figure 3(a)

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**Figure 3(b)**

5/25

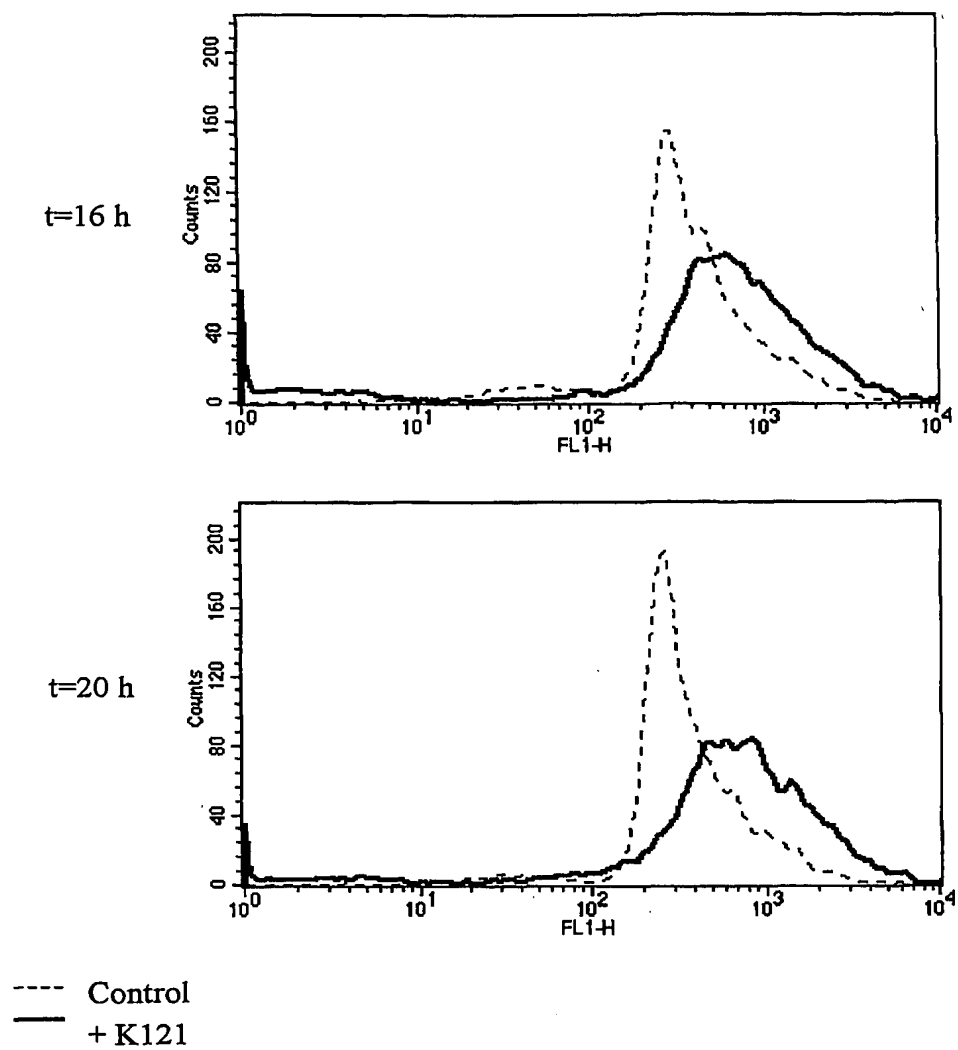


Figure 4(a)

6/25

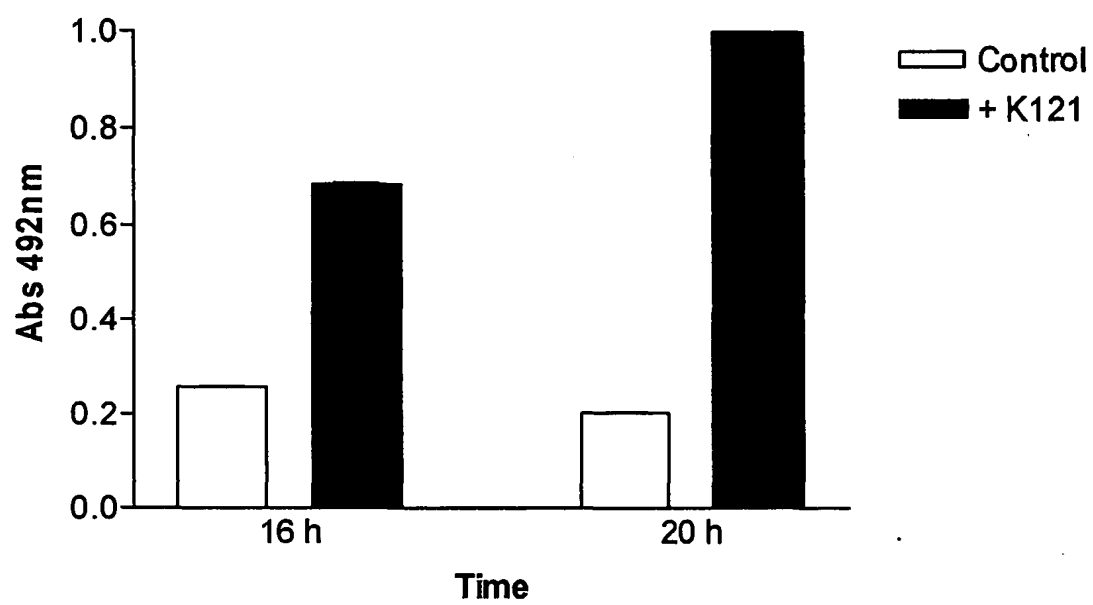


Figure 4(b)

7/25

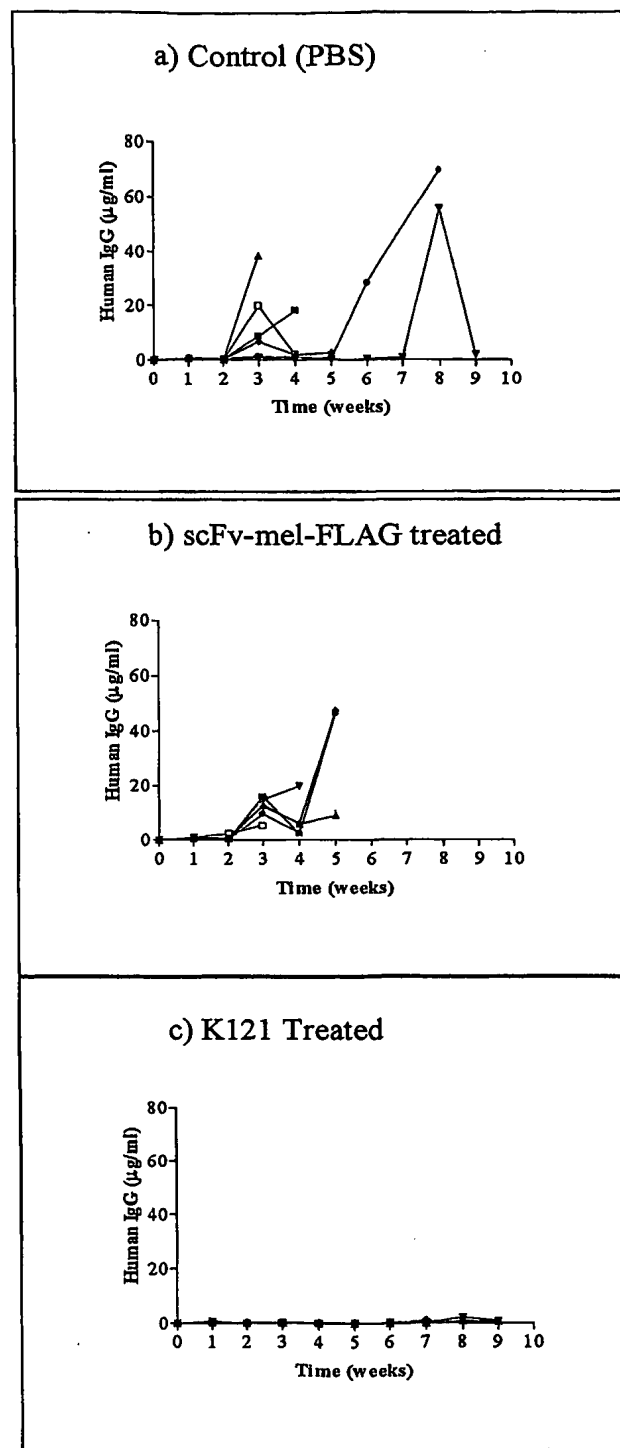


Figure 5

8/25

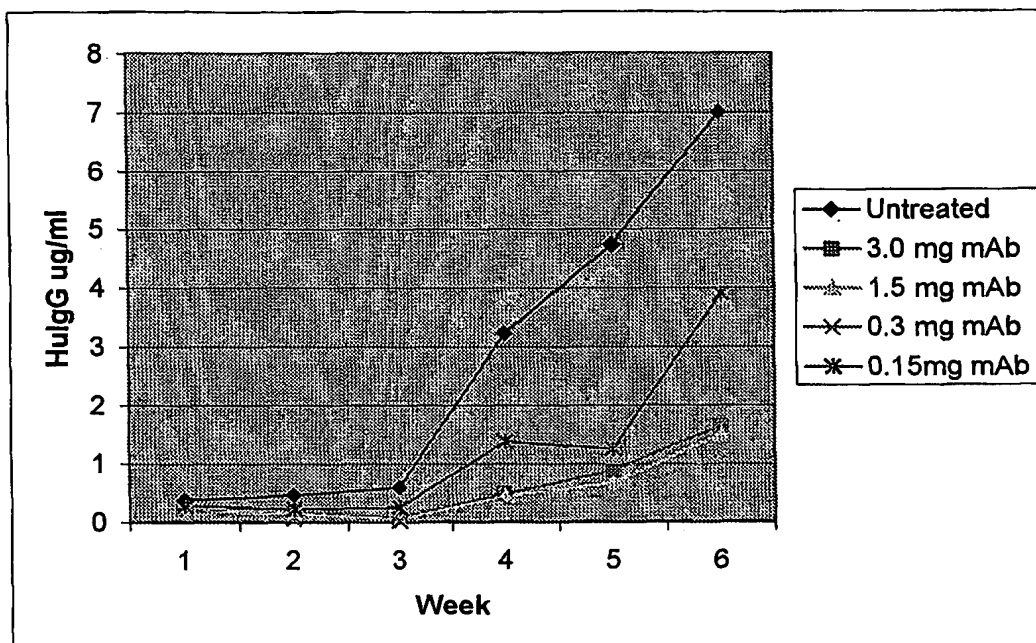


Figure 6

9/25

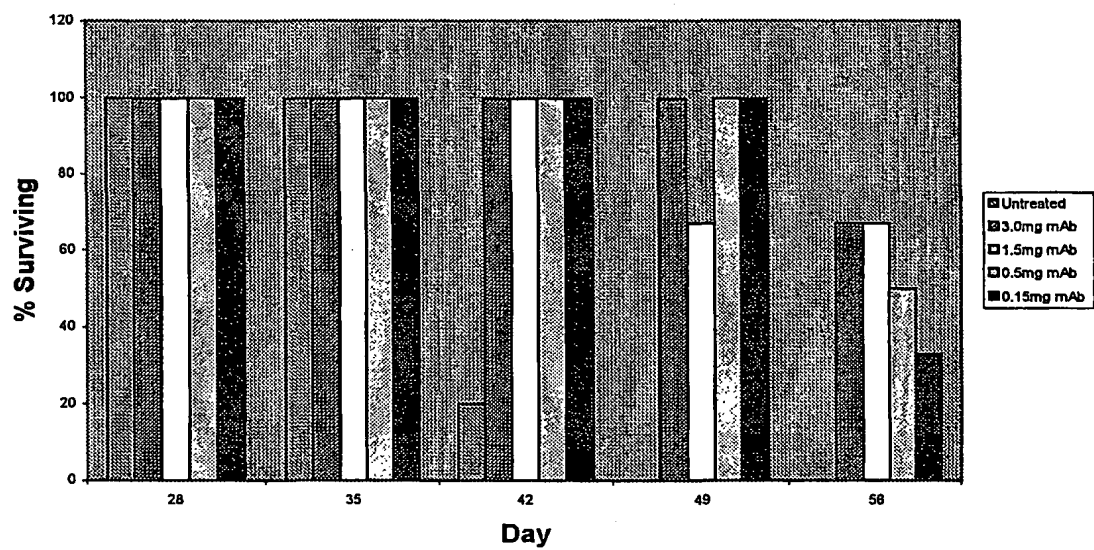


Figure 7

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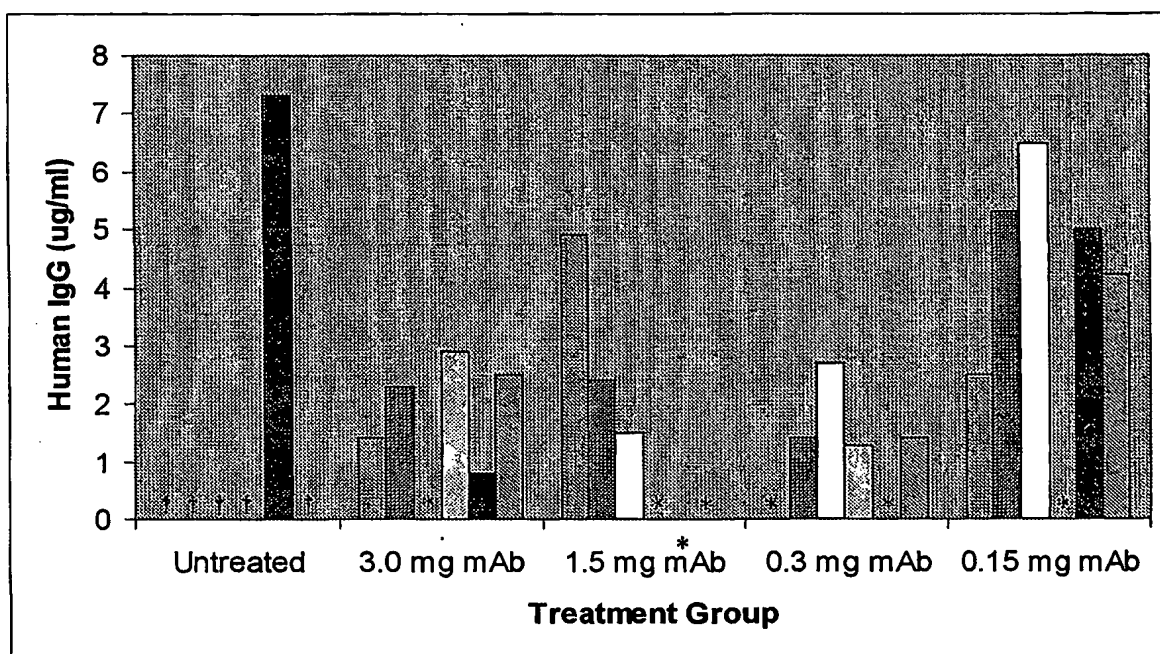


Figure 8

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K121 Variable Genes

VH

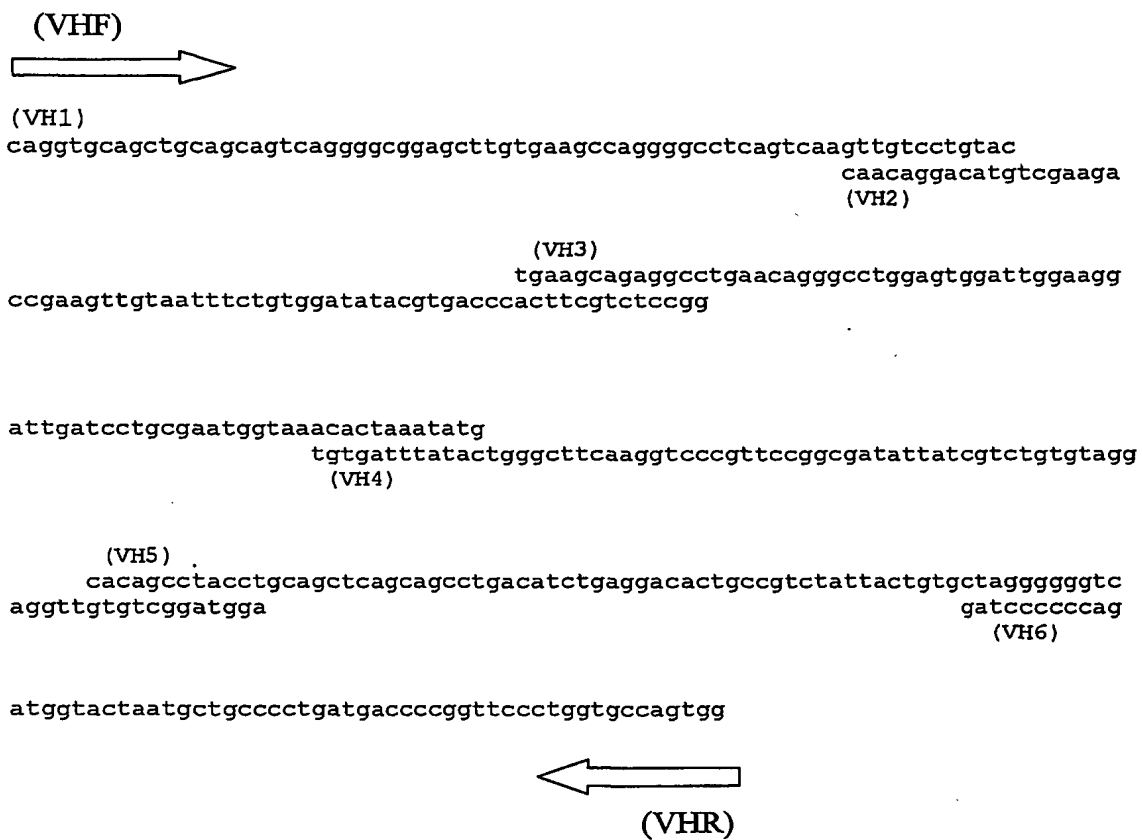
Gln Val Gln Leu Gln Gln Ser Gly Ala Glu Leu Val Lys Pro Gly Ala	16
CAG GTG CAG CTG CAG CAG TCA GGG GCG GAG CTT GTG AAG CCA GGG GCC	48
Ser Val Lys Leu Ser Cys Thr Ala Ser Gly Phe Asn Ile Lys Asp Thr	32
TCA GTC AAG TTG TCC TGT ACA GCT TCT GGC TTC AAC ATT AAA GAC ACC	96
Tyr Met His Trp Val Lys Gln Arg Pro Glu Gln Gly Leu Glu Trp Ile	48
TAT ATG CAC TGG GTG AAG CAG AGG CCT GAA CAG GGC CTG GAG TGG ATT	144
Gly Arg Ile Asp Pro Ala Asn Gly Asn Thr Lys Tyr Asp Pro Lys Phe	64
GGA AGG ATT GAT CCT GCG AAT GGT AAC ACT AAA TAT GAC CCG AAG TTC	192
Gln Gly Lys Ala Ala Ile Ile Ala Asp Thr Ser Ser Asn Thr Ala Tyr	80
CAG GGC AAG GCC GCT ATA ATA GCA GAC ACA TCC TCC AAC ACA GCC TAC	240
Leu Gln Leu Ser Ser Leu Thr Ser Glu Asp Thr Ala Val Tyr Tyr Cys	96
CTG CAG CTC AGC AGC CTG ACA TCT GAG GAC ACT GCC GTC TAT TAC TGT	288
Ala Arg Gly Val Tyr His Asp Tyr Asp Gly Asp Tyr Trp Gly Gln Gly	112
GCT AGG GGG GTC TAC CAT GAT TAC GAC GGG GAC TAC TGG GGC CAA GGG	336
Thr Thr Val Thr Val Ala Ser	119
ACC ACG GTC ACC GTC GCC TCC	357

VL

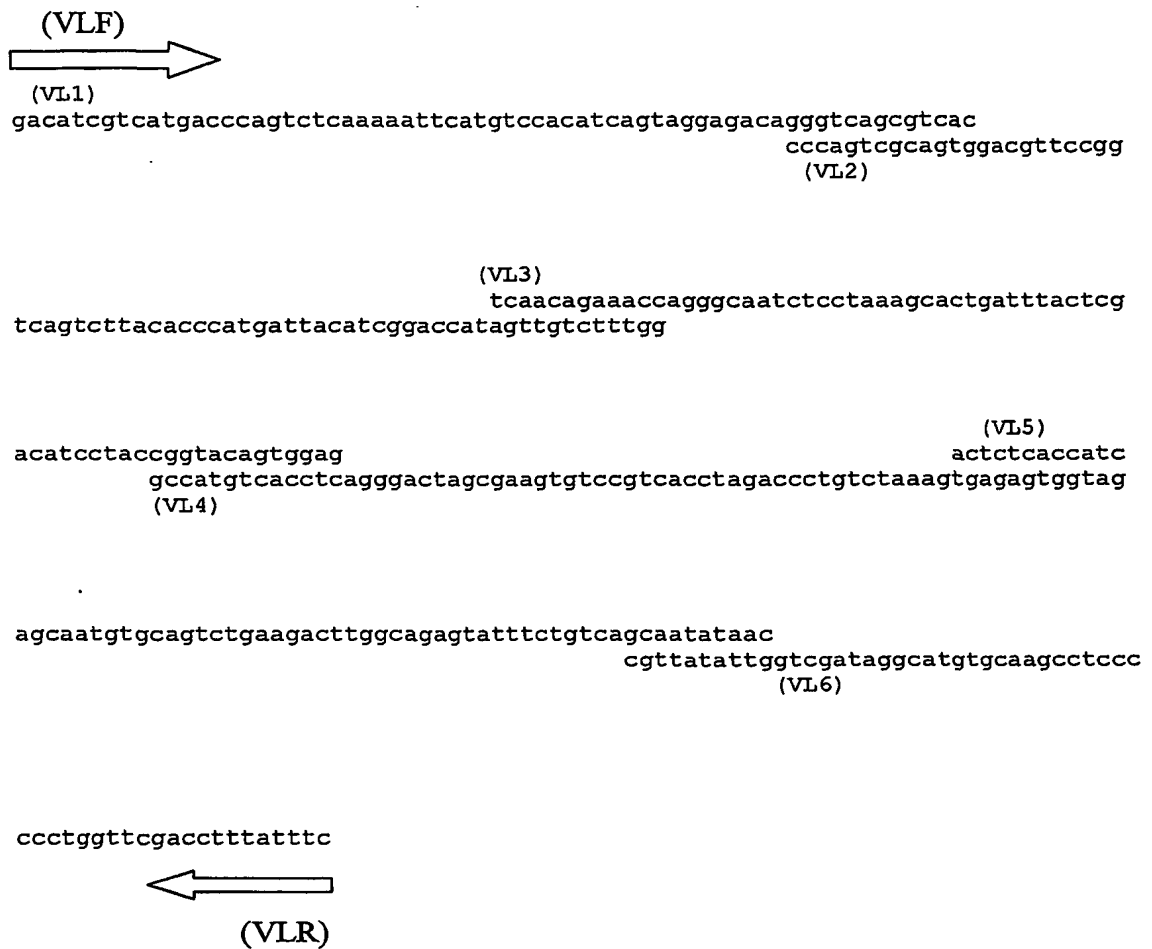
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GAC ATC GTC ATG ACC CAG TCT CAA AAA TTC ATG TCC ACA TCA GTA GGA	48
Asp Arg Val Ser Val Thr Cys Lys Ala Ser Gln Asn Val Gly Thr Asn	32
GAC AGG GTC AGC GTC ACC TGC AAG GCC AGT CAG AAT GTG GGT ACT AAT	96
Val Ala Trp Tyr Gln Gln Lys Pro Gly Gln Ser Pro Lys Ala Leu Ile	48
GTA GCC TGG TAT CAA CAG AAA CCA GGG CAA TCT CCT AAA GCA CTG ATT	144
Tyr Ser Thr Ser Tyr Arg Tyr Ser Gly Val Pro Asp Arg Phe Thr Gly	64
TAC TCG ACA TCC TAC CGG TAC AGT GGA GTC CCT GAT CGC TTC ACA GGC	192
Ser Gly Ser Gly Thr Asp Phe Thr Leu Thr Ile Ser Asn Val Gln Ser	80
AGT GGA TCT GGG ACA GAT TTC ACT CTC ACC ATC AGC AAT GTG CAG TCT	240
Glu Asp Leu Ala Glu Tyr Phe Cys Gln Gln Tyr Asn Ser Tyr Pro Tyr	96
GAA GAC TTG GCA GAG TAT TTC TGT CAG CAA TAT AAC AGC TAT CCG TAC	288
Thr Phe Gly Gly Gly Thr Lys Leu Glu Ile Lys	107
ACG TTC GGA GGG GGG ACC AAG CTG GAA ATA AAG	321

Figure 9(a)

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K121 VH DNA SEQUENCE FOR OLIGONUCLEOTIDE EXTENSION**Figure 9(b)**

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K121 VL DNA SEQUENCE FOR OLIGONUCLEOTIDE EXTENSION**Figure 9(c)**

14/25

PCR PRIMERS FOR OLIGONUCLEOTIDE EXTENSION OF K121 VH

(VH1)

5' caggtgcagctgcagcagtcaggggaggagcttgtgaagccagggcctcagtcagttgtcctgtac 3'

(VH2)

5' ggcctctgcttcacccagtgcatataggtgtctttaatgttgaagccagaagctgtacaggacaac 3'

(VH3)

5' tgaagcagaggcctgaacagggcctggagtggttgaaggattgatcctgcgaatggtaacactaaatatg 3'

(VH4)

5'
aggtaggctgtgttgaggatgtgtctgctattatagcggccttgccctggaacttcgggtcatatttagtgt3'

(VH5)

5' cacagcctacctgcagctcagcagcctgacatctgaggacactgccgtctattactgtgctaggggggtc 3'

(VH6)

5' ggtgaccgtggtcccttggtcccccagtagtccccgtcgtaatcatggtagaccccccta 3'

(VHF)

5' caggtgcagctgcagcag 3'

(VHR)

5' ggtgaccgtggtcccttgg 3'

Figure 9(d)

15/25

PCR PRIMERS FOR OLIGONUCLEOTIDE EXTENSION OF K121 VL

(VL1)

5' gacatcgatcatgacccagtcctcaaaaattcatgtccacatcagtaggagacagggtcagcgtcac 3'

(VL2)

5' ggtttctgttgataccaggctacattagtagccacattctgactggccttgacaggtgacgctgaccc 3'

(VL3)

5' tcaacagaaaccagggcaatctcctaaagcactgatttactcgacatcctaccggtacagtggag 3'

(VL4)

5' gatggtgagagtgaatctgtcccagatccactgcctgtgaagcgatcagggactccactgtaccg 3'

(VL5)

5' actctcaccatcagcaatgtgcagtcctgaagacttggcagagtatttctgtcagcaatataac 3'

(VL6)

5' ctttatttccagcttgggtccccctccgaacgtgtacggatagctgttatattgc 3'

(VLF)

5' gacatcgatcatgacccag 3'

(VLR)

5' ctttatttccagcttgg

Figure 9(e)

16/25

A METHOD FOR CREATING THE K121 MONOCLONAL ANTIBODY HEAVY AND LIGHT CHAIN VARIABLE REGIONS USING OLIGONUCLEOTIDE EXTENSION (adapted from Sato et al. 1994)

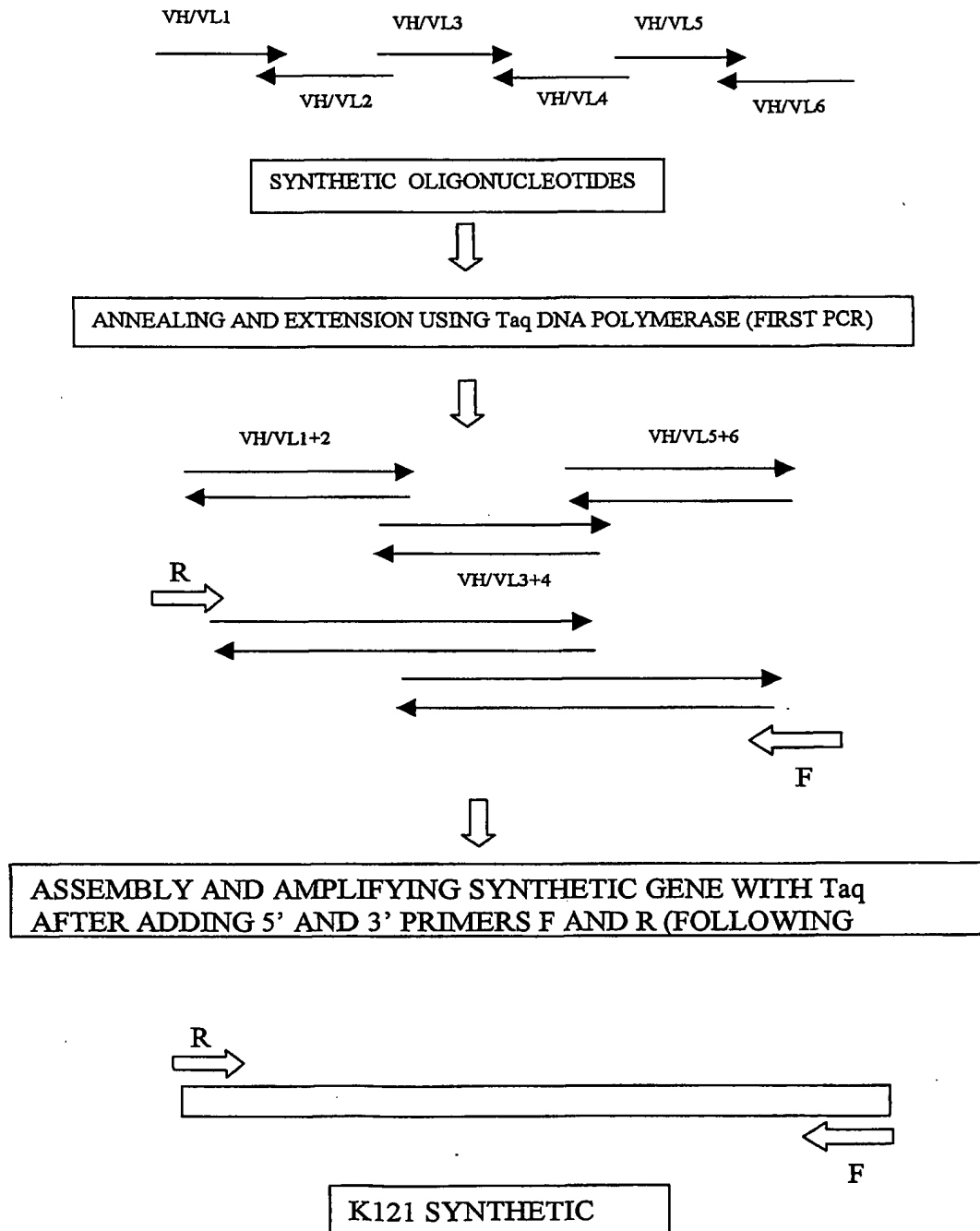


Figure 9(f)

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PCR Primers

VH

cK-VH-F 5'-GGGGTGCACTCCCAGGTGCAGCTGCAGCAGTCA-3'
 Apa L1

cK-VH-R 5'-CGCGGATCCACTCACCGGAGGCGACGGTGACCGTGG-3'
 Bam H1

VL

cK-VL-F 5'-GGGGTGCACTCCGACATCGTCATGACCCAGTCT-3'
 Apa L1

cK-VL-R 5'-CGCGGATCCACTCACCCTTTCTTTCCAGCTTGGTCC-3'
 Bam H1

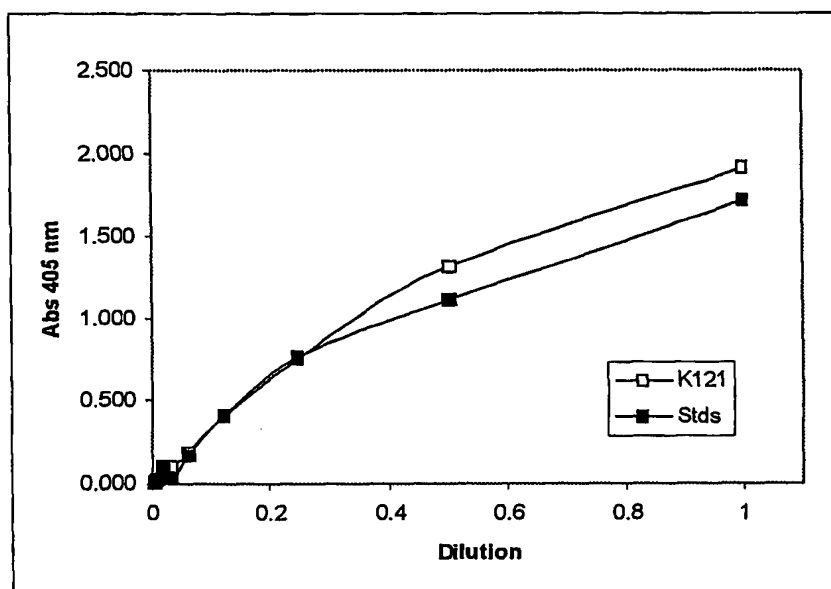
TCC recreates the last serine residue of the leader sequence.

ACTCACC is a splice acceptor site

Figure 10

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(a)



(b)

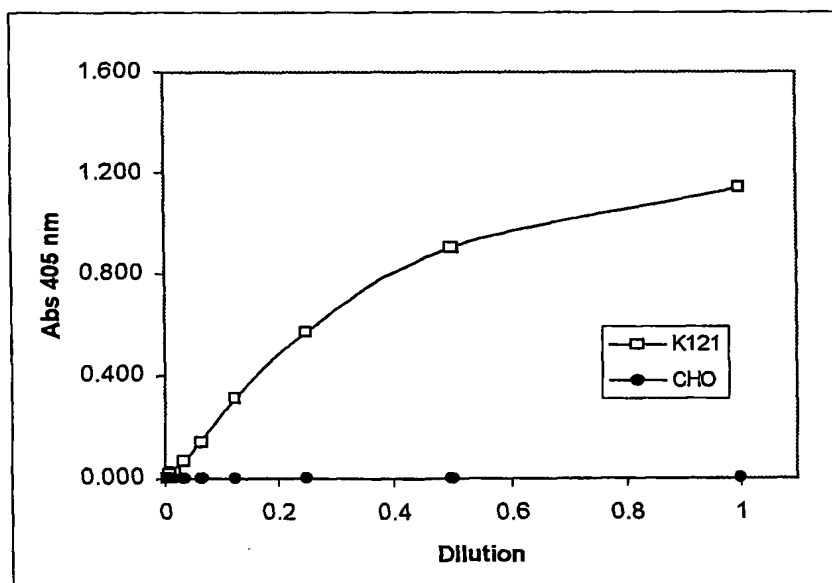
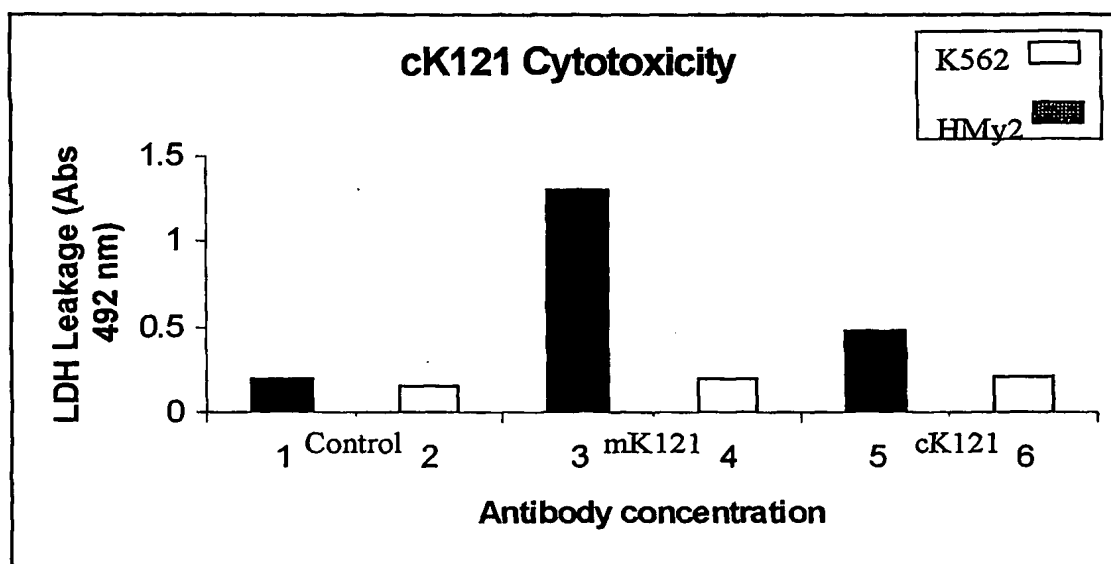
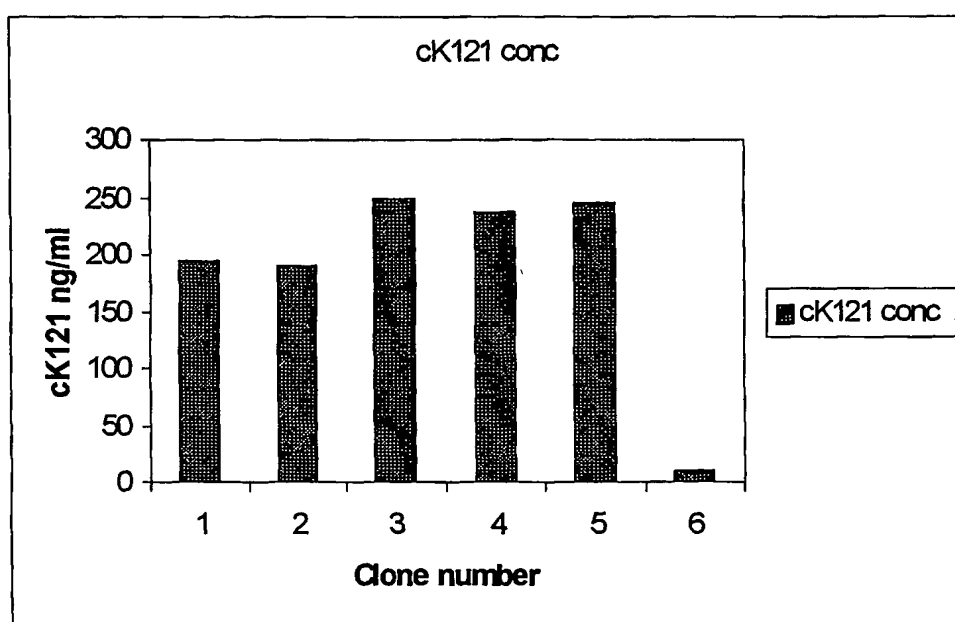


Figure 11

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**Figure 12**

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**Figure 13**

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Humanisation of K121 VL using a human VL gene as the framework.

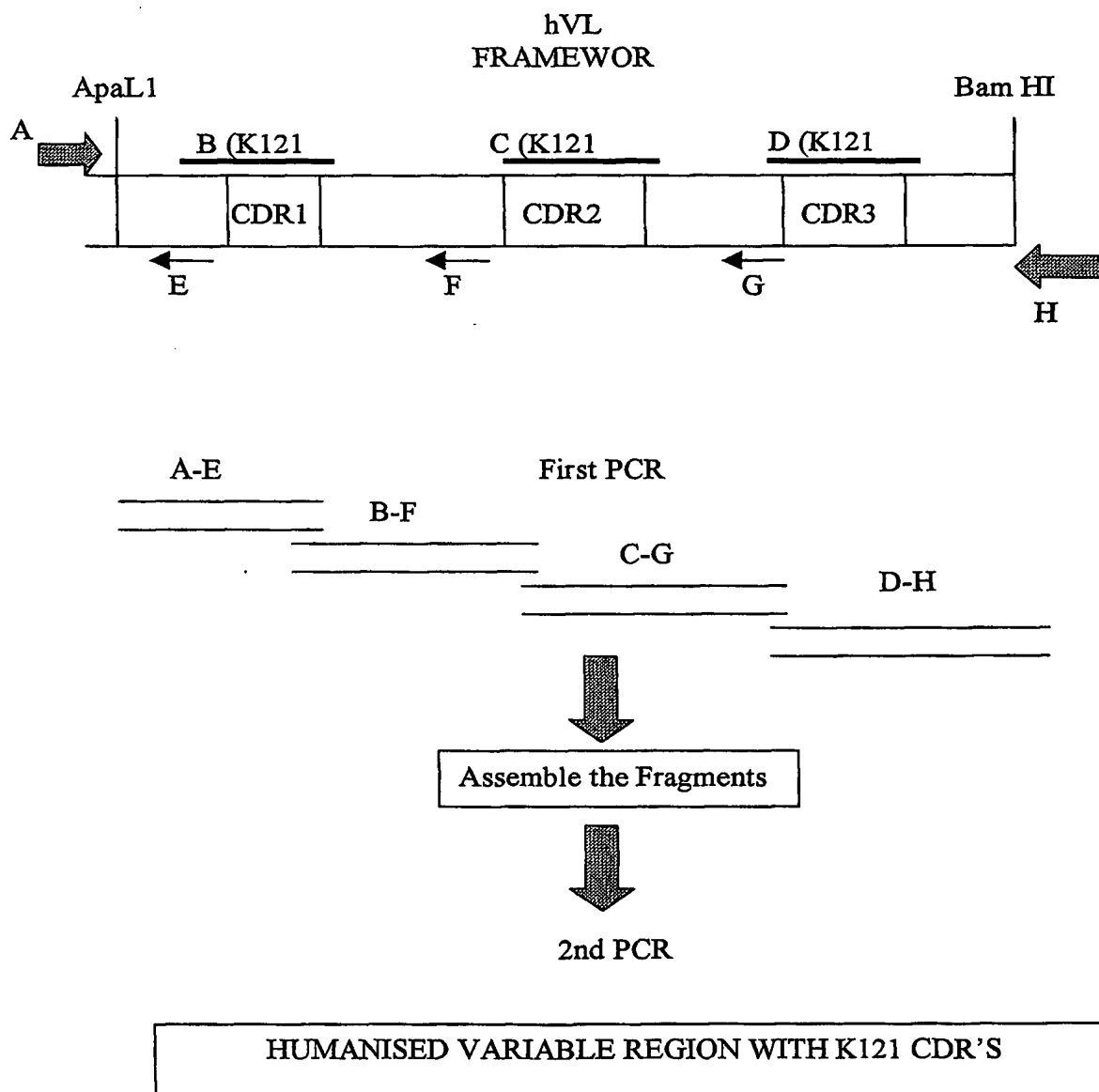


Figure 14(a)

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THE DNA SEQUENCE OF A HUMAN FRAMEWORK VL AND K121 VL

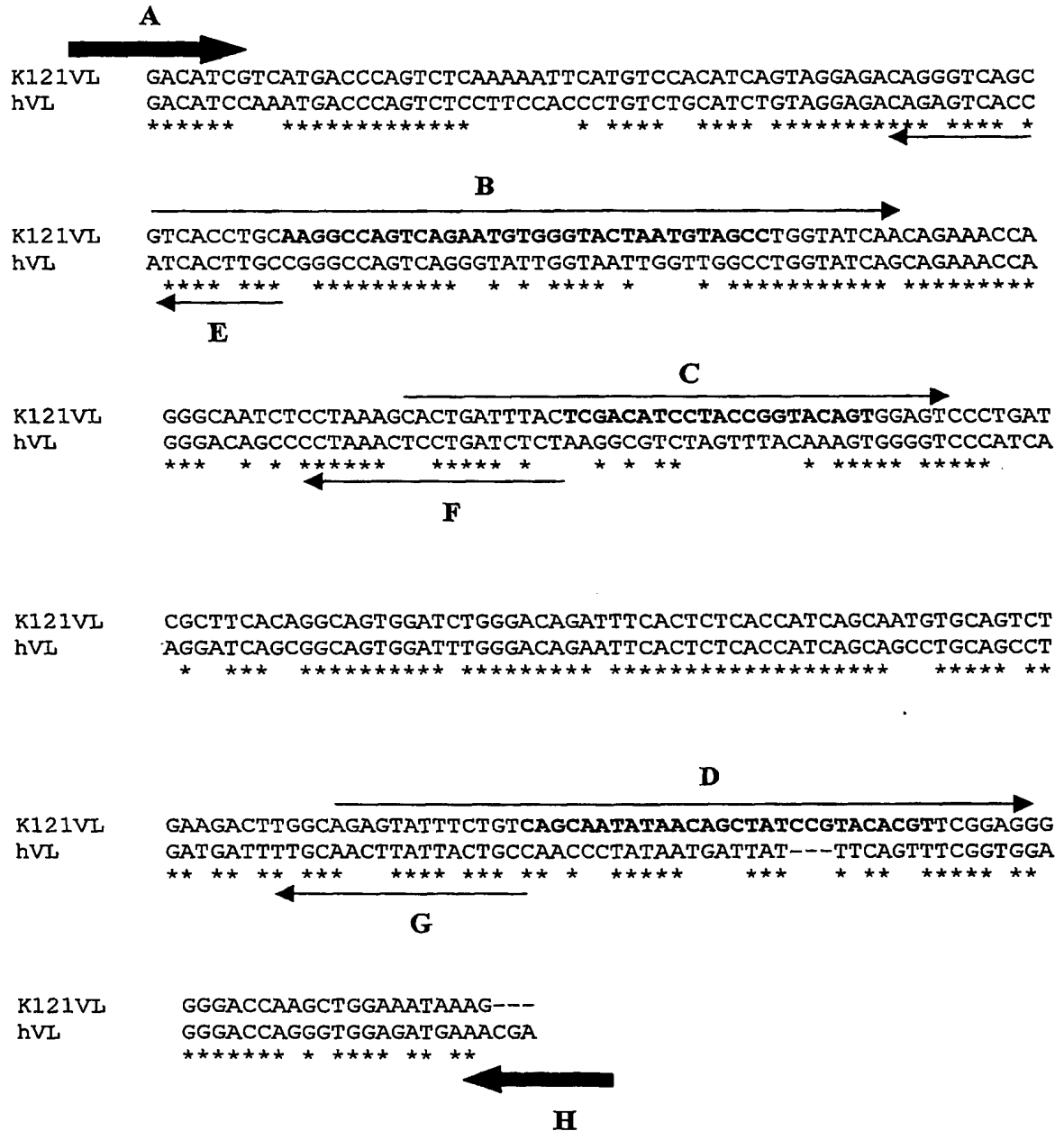


Figure 14(b)

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OLIGONUCLEOTIDES FOR K121 VL HUMANISATION USING PCR**VLA**5' ggggtgcactccgacatccaaatgacccag 3'

Apa L1

VLB

5' atcacttgcaaggccagtcagaatgtgggtactaatgtagcctggtatcag 3'

VLC5' ctctgatctactcgacatcctaccggtacagtgggggtccca 3'

Age 1

VLD

5' acttattactgccagcaatataacagctatccgtacacggttcggtgga 3'

VLE

5' gcaagtgatggtgactctg 3'

VLF

5' gtagatcaggagtttagg 3'

VLG

5' gcagtaataagttgcaaa 3'

VLH5' gccggatccactcacctttcatctccaccct 3'

Bam H1

Figure 14(c)

24/25

DNA SEQUENCE OF K121 AND HUMAN VH3 (hVH) VARIABLE HEAVY CHAIN GENES

K121VH	CAGGTGCAGCTGCAGCAGTCAGGGGCGGAGCTTGTGAAGCCAGGGGCCTCAGTCAAGTTG
hVH	CAGGTGCAGCTGCTGGAGTCTGGGGGAGGCGTGGTCCAGCCTGGGAGGTCCCTGAGACTC
	***** * * * * * * * * * * * * * * * * * *
K121VH	TCCTGTACAGCTTCTGGCTTCAACATTAAAGACACCTATATGCACTGGGTGAAGCAGAGG
hVH	TCTTGTGTAGCGTCTGGATTACCTTCAGTATCTATGACATGCACTGGGTCCGCCAGGCT
	* *
K121VH	CCTGAACAGGGCCTGGAGTGGATTGGAAGGATTGATCCTGCGAATGGTAACACTAAATA
hVH	CCAGGCAAGGGGCTGGAGTGGGTGGCACTTATGCTATATGATGGAAGTCTTAAATATTA
	* *
K121VH	TGACCCGAAGTTCCAGGGCAAGGCCGCTATAATAGCAGACACATCCTCCAACACAGC
hVH	TGGAGACTCCGTGAAGGGCCGATTACCATCTCCAGAGACAATTCCAAGAACACACT
	* *
K121VH	CTACCTGCAGCTCAGCAGCCTGACATCTGAGGACACTGCCGTCTATTACTGTGCTAGGGG
hVH	CTATCTGCAAATGAACAGCCTGAGAGCCGACGACACGGCTGTGTATTACTGTGCGAGAGG
	* *
K121VH	GGTCTACCATGATTACGACGGGGACTACTGGGGCCAAGGGACCACGGTCACCAT
hVH	CCGATCTCGTCTGCTTATCACGCCCTCTTGGGGCCGGGGAACCCTGGTCACCGT
	* *
K121VH	CGCCTCC
hVH	CTCCTCA
	* * * * *

Figure 15(a)

25/25

Humanisation of K121 VH mutagenesis primers

VHAf

CACCTTCAGTGACACCTATATGCACTGGGTCAAGCAGGCTCCAGG

VHA_r

CCTGGAGCCTGCTTGACCCAGTGCATATAGGTGTGACTGAAGGTG

VHB1f

GTGGGTGGCAAGGATTGATCCTGCGGGAAGTCTT

VHB1_r

AAGACTTCCCGCAGGATCAATCCTTGCCACCCAC

VHB2f

*TGATCCTGCGAATGGTAACCACTAAATATGGAGACT*VHB2_r

AGTCTCCATATTTAGTGGTTACCATTCGCAGGATCA

VHB3f

*ACTAAATATGACCCGAAGTTCCAGGGCCGATTC*VHB3_r

GAATCGGCCCTGGAAGTTCGGGTCATATTTAGT

VHCf

TGCGAGAGGGGTCTACCATGATTACGACGGGGACTACTGGGGCC

G

VHC_r

CGGCCCCAGTAGTCCCCGTCGTAATCATGGTAGACCCCTCTCGCA

Figure 15(b)

SEQUENCE LISTING

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 <130> 500564
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 35 40 45

Gly Arg Ile Asp Pro Ala Asn Gly Asn Thr Lys Tyr Asp Pro Lys Phe
 50 55 60

Gln Gly Lys Ala Ala Ile Ile Ala Asp Thr Ser Ser Asn Thr Ala Tyr
 65 70 75 80

Leu Gln Leu Ser Ser Leu Thr Ser Glu Asp Thr Ala Val Tyr Tyr Cys
 85 90 95

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Thr Thr Val Thr Val Ala Ser
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 35 40 45

Tyr Ser Thr Ser Tyr Arg Tyr Ser Gly Val Pro Asp Arg Phe Thr Gly
 50 55 60

Ser Gly Ser Gly Thr Asp Phe Thr Leu Thr Ile Ser Asn Val Gln Ser
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Glu Asp Leu Ala Glu Tyr Phe Cys Gln Gln Tyr Asn Ser Tyr Pro Tyr
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45

INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU02/00896

A. CLASSIFICATION OF SUBJECT MATTER												
Int. Cl. ⁷ : A61K 39/395, 39/44 A61P 35/00, 35/02, 37/06												
According to International Patent Classification (IPC) or to both national classification and IPC												
B. FIELDS SEARCHED												
Minimum documentation searched (classification system followed by classification symbols)												
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched												
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) Medline/WPAT: K121 and mab or monoclonal and KMA and myeloma and simliar terms												
C. DOCUMENTS CONSIDERED TO BE RELEVANT												
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.										
X	Walker, K. et al (1985), Adv. Exp. Med. Biol. Vol 186 pages 833-841 "A monoclonal antibody with selectivity for human kappa myeloma and lymphoma cells which has potential as a therapeutic agent" -see Abstract and pages 839-841	1-13										
X	Boux, H. et al (1983) J. Exp. Med., 158/5 pages 1769-1774, "A tumor associated antigen specific for kappa myeloma cells" -see pages 1769, 1773 and 1774	1-3, 7-13										
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C <input type="checkbox"/> See patent family annex												
<p>* Special categories of cited documents:</p> <table border="0"> <tr> <td>"A" document defining the general state of the art which is not considered to be of particular relevance</td> <td>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</td> </tr> <tr> <td>"E" earlier application or patent but published on or after the international filing date</td> <td>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</td> </tr> <tr> <td>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</td> <td>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</td> </tr> <tr> <td>"O" document referring to an oral disclosure, use, exhibition or other means</td> <td>"&" document member of the same patent family</td> </tr> <tr> <td>"P" document published prior to the international filing date but later than the priority date claimed</td> <td></td> </tr> </table>			"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention	"E" earlier application or patent but published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone	"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art	"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family	"P" document published prior to the international filing date but later than the priority date claimed	
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Date of the actual completion of the international search 30 August 2002		Date of mailing of the international search report 13 SEP 2002										
Name and mailing address of the ISA/AU AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUSTRALIA E-mail address: pct@ipaustalia.gov.au Facsimile No. (02) 6285 3929		Authorized officer JENNIFER FERNANCE Telephone No : (02) 6283 2416										

INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU02/00896

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	Dunn, R. et al (1996), Immunotechnology, 2/3 pages 229-240 "Antigen binding and cytotoxic properties of a recombinant immunotoxin incorporating the lytic peptide, melittin" -see pages 233, 237	1-3, 7-13
X	Izard, M. et al (1992), Bioconjugate Chem 3/4 pages 346-350, "An improved method for labeling Monoclonal Antibodies with Samarium-153: Use of the Bifunctional Chelate 2-(p-Isothiocyanatobenzyl)-6-methyldiethylenetriaminepentaacetic Acid" -see whole document	1-13
X	Walker, K et al (1986), Europ. J. Med. 12/9 pages 461-467, "A rat model system for radioimmuno-detection of kappa myeloma antigen on malignant B cells" -see Abstract, pages 462, 466	1-13
X	Weston K. et al (1998) Leukemia and Lymphoma Vol. 29 pages 361-373, "In Vivo Binding of Mouse IgG Via Polyreactive Surface IgM Abrogates Progressive Lymphocytosis in Polymorphocytic Leukemia" -see whole document	1-3, 7-13